Cruise Report, Marine Species Monitoring & Lookout Effectiveness Study Submarine Commanders Course 11-1 and Undersea Warfare Exercise February 2011, Hawaii Range Complex

Prepared for: Commander, Pacific Fleet





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List of Acronyms and Abbreviations

| ft | foot (feet) |
|-------|----------------------------|
| km | kilometer(s) |
| m | meter(s) |
| MFAS | mid-frequency active sonar |
| ММО | marine mammal observer |
| nm | nautical mile(s) |
| yd(s) | yard(s) |

SECTION 1 INTRODUCTION

In order to address requirements under the Marine Mammal Protection Act Letters of Authorization permitted to the U.S. Navy, three U.S. Navy civilian marine mammal observers (MMOs; Ms. Amy Farak, Ms. Julie Rivers, and Dr. Robert Uyeyama) and one U.S. Navy contractor (Dr. Thomas A. Jefferson) participated in two consecutive ASW exercise events in the Hawaii Range Complex from 15-22 February, 2011 (Figure 1). These MMOs were stationed aboard a U.S. Navy destroyer, hereafter referred to as DDG-D. The goals of the monitoring and this study were to:

- 1. Collect data to assess the effectiveness of the Navy lookout team.
- 2. Obtain data to characterize the possible exposure of marine species to mid-frequency active sonar (MFAS).
- 3. Achieve close coordination between the contracted aerial survey team, Navy aircraft on the range, range control, and the MMO team aboard DDG-D to facilitate maximizing survey time and project safety.

1.1. SHIPBOARD MONITORING

MMO surveys were conducted on a not-to-interfere basis, which means that the MMOs would not replace required Navy lookouts, would not dictate operational requirements/maneuvers, and would remove themselves from the bridge wing if necessary for DDG-D to accomplish its mission objectives. The exceptions would be if a marine mammal or sea turtle was sighted by the MMO within the shut-down zone during MFAS use (200 yards [yds], 183 meters [m]) and was not sighted by the Navy lookout team, or if the vessel was in danger of striking the marine species. In these cases, the MMO would report the sighting to the Navy lookout team for appropriate reporting and action.

The MMO survey on DDG-D was conducted on the bridge wings (elevated 66 feet [ft; 20 m] above the waterline), with two MMOs actively search for marine mammals and sea turtles, one MMO recording data, and one MMO acting as a liaison with the bridge team/lookouts to relay their sightings. Liaison MMO and recording MMO would also search while not otherwise engaged in their primary role. While on effort, MMOs used naked eye and 7 X 50 magnification binoculars to scan the area from dead ahead to just aft of the beam.

1.2. AERIAL MONITORING

Aerial surveys were conducted during the Submarine Commanders Course (16-18 February) under contract Contract #N62742-10-D-3011 CTO KB07, using similar methods as were used during the August 2008/09 and February 2009/10 surveys, including ship-following orbital tracks, shoreline surveys, and assisting in the pre-exercise tagging effort. The primary goals of the aerial monitoring were to locate and identify marine species before, during, and after the training event, and to monitor and report observations of their behavior. This included monitoring for any potentially injured or harmed marine species and any unusual behavior or changes in behavior, distribution, numbers, and species associations of animals observed during the training event. Communications between the survey aircraft and the MMO team aboard DDG-D were enabled by an aviation VHF radio handset brought by the MMO team.

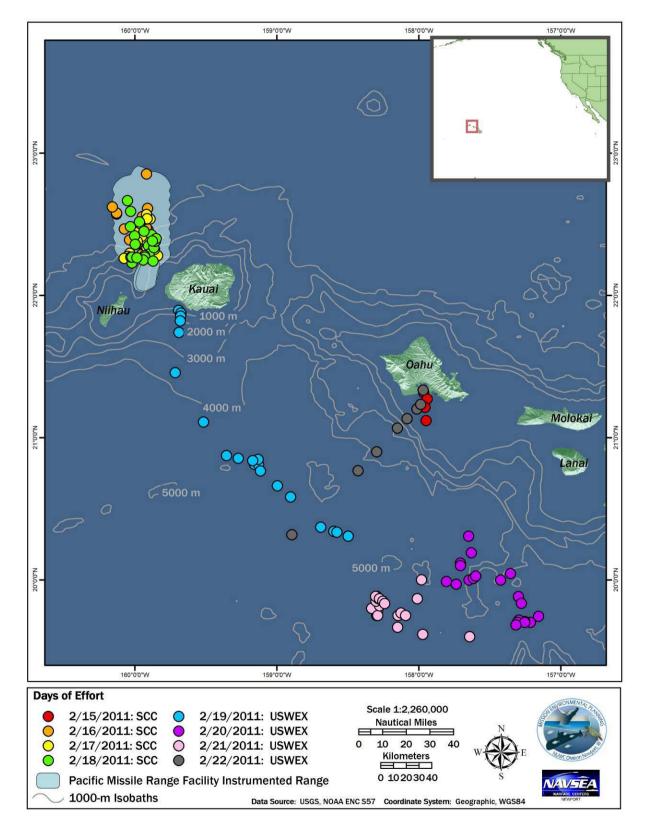


Figure 1. Location of MMO Effort

In addition to this Navy cruise report focusing on shipboard activities, the aerial survey contractor (Dr. Joseph Mobley, HDR) will provide a comprehensive scientific report detailing their methods, observations, and recommendations.

SECTION 2 RESULTS

2.1. SHIPBOARD MONITORING

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Effort and environmental information was collected when the MMOs began effort, at each rotation, and as significant weather changes occurred. The MMO team spent 61 hours 31 minutes, and 10 seconds searching for marine species during the two training events (Table 1). For all four observers, a total of 246 hours, 4 minutes, and 40 seconds of marine species shipboard monitoring was conducted. Beaufort Sea States ranged from 1 to 6, with the majority of the time occurring in Sea States 3-5 (Figure 2 and Figure 3). Unexpectedly, periods of low sea states in offshore waters southwest of Oahu occurred on 19 February (Figure 4). This allowed for better sighting conditions and allowed for additional species identification. From 16 - 18 February, effort was located to the north and west of Kauai, whereas 20-21 February were spent south of Oahu; all other days were spent transiting to and from these areas.

| Table 1. Effort Hours and Environmental Conditions | | | | | | | | |
|--|---|-------------------------------|---------------|-----------------|--|--|--|--|
| Date | Team Hours On-Effort | Beaufort Sea State (range) | % Cloud Cover | Visibility | | | | |
| 15 Feb 11 | 58 min 20 sec | 2 - 4 | 80 - 90 | Excellent | | | | |
| 16 Feb 11 | 9 hr 33 min 29 sec | 3 - 6 | 70 - 100 | Good – Moderate | | | | |
| 17 Feb 11 | 9 hr 31 min 34 sec | 5 - 6 | 70 - 100 | Good – Moderate | | | | |
| 18 Feb 11 | 9 hr 26 min 50 sec | 2-6 | 15 - 75 | Good – Moderate | | | | |
| 19 Feb 11 | 9 hr 12 min 41 sec | 1 - 4 | 60 - 90 | Good – Moderate | | | | |
| 20 Feb 11 | 9 hr 0 min 07 sec | 3 – 6 | 25 – 95 | Good – Moderate | | | | |
| 21 Feb 11 | 8 hr 42 min 40 sec | 3 – 5 | 30 - 100* | Poor – Moderate | | | | |
| 22 Feb 11 | 4 hr 5 min 29 sec | 3 – 4 | 25 - 50 | Good | | | | |
| Total | 60 hr 31 min 10 sec (242 hours, 4 minutes, 40 seconds for 4 observers) | 1 – 6 | 25 - 100 | Poor – Good | | | | |

| Table 1. E | Effort Hours a | and Environment | al Conditions | |
|------------|----------------|-----------------|---------------|--|
|------------|----------------|-----------------|---------------|--|

* rain encountered

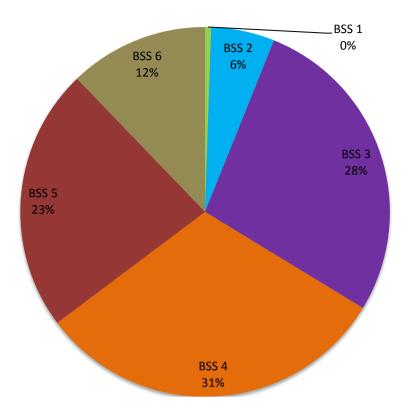


Figure 2. Total Percentage of Effort at Beaufort Sea States



Figure 3. Daily Percentage of Effort at Beaufort Sea States

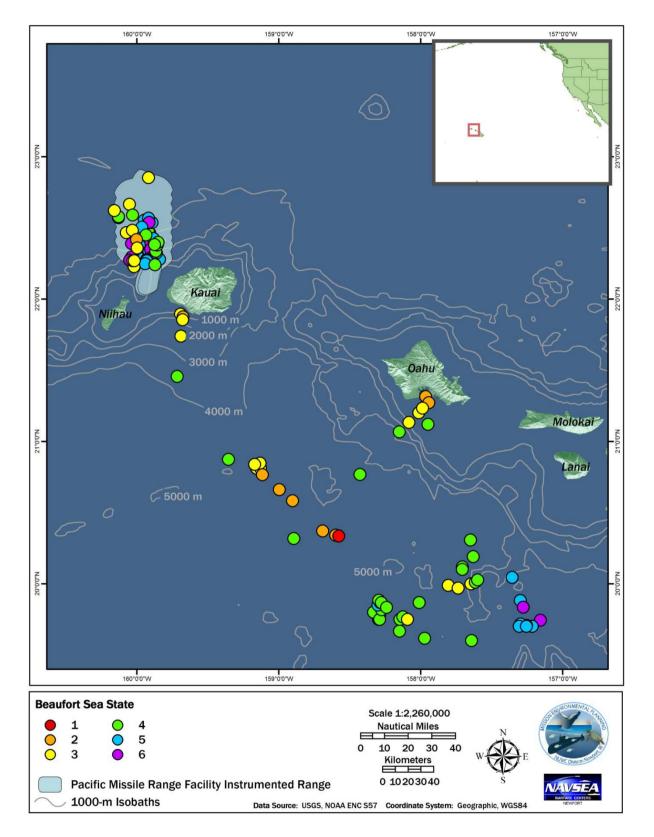


Figure 4. Beaufort Sea State at Effort Locations

In total, 35 sightings of marine mammals and one sea turtle were recorded during the eight days of observation (Table 4 and Figure 5). Two sightings (sighting numbers 34 and 35) were made by the Navy lookout team during entry into port. The MMOs, however, question the validity of these data points, as the lookout making the sighting was not a normal lookout, he was focused on obtaining bearings to landmarks for safe navigation, and the MMOs were not able to resight the animals, even when they were indicated as being close aboard the vessel. As such, these sightings data are included in Table 4, but are not included in the additional summary tables below.

Seventeen of the sightings were made independently by the MMOs, that is, not seen by the Navy lookout team (Table 2). Additionally, five sightings were made by the Navy lookout team but were not sighted by the MMOs and species information could not be obtained. Eighteen sightings were identifiable to species; one sighting each of Risso's dolphin (*Grampus griseus*), spinner dolphin (*Stenella longirostris*), striped dolphin (*Stenella coeruleoalba*), pilot whale (*Globicephala macrorhynchus*), and green turtle (*Chelonia mydas*), and 13 sightings of humpback whales (*Megaptera novaeangliae*; Table 3).

| Date | Independent MMO Sightings | Independent Navy Lookout Team Sightings | Sightings by both Teams |
|--------|------------------------------|---|----------------------------|
| 15 Feb | 0 | 1 | 4 |
| 16 Feb | 3 | 0 | 0 |
| 17 Feb | 4 | 0 | 1 |
| 18 Feb | 4 | 2 | 3 |
| 19 Feb | 3 | 1 | 2 |
| 20 Feb | 1 | 0 | 0 |
| 21 Feb | 0 | 0 | 0 |
| 22 Feb | 2 | 1 | 2 |
| Total | 17 | 6 | 11 |

Table 2. Marine Mammal and Sea Turtle Sightings by Observer

| Table 3. | Unique | sightings | by s | pecies |
|----------|--------|-----------|------|--------|
|----------|--------|-----------|------|--------|

| Species | Unique animal group sightings | Total number of animals (based on best group size estimate) |
|-----------------------------|-------------------------------|---|
| Risso's dolphin | 1 | 40 |
| Spinner dolphin | 1 | 45 |
| Striped dolphin | 1 | 23 |
| Pilot whale | 1 | 18 |
| Humpback whale | 13 | 27 |
| Unidentified Stenella sp. | 1 | 10 |
| Unidentified small cetacean | 1 | 10 |
| Unidentified balaenopterid | 2 | 3 |
| Unidentified whale | 12 | 16 |
| Green sea turtle | 1 | 1 |
| Total | 34 | 176 |

| | | | i me iviamma a | na bea rarae b | 5 | | |
|------------------------------|---|----------------------|--|----------------------|----------------------|----------------------|----------------------|
| Data Category | Sighting 1 | Sighting 2 | Sighting 3 | Sighting 4 | Sighting 5 | Sighting 6 | Sighting 7 |
| | | | Sightings Info | rmation | | | |
| Effort (on/off) | On | On | On | On | On | On | On |
| Date | 2/15/2011 | 2/15/2011 | 2/15/2011 | 2/15/2011 | 2/15/2011 | 2/16/2011 | 2/16/2011 |
| Time | 153707 | 154005 | 154210 | 154323 | 154456 | 140623 | 140747 |
| Location | 21.3029 -157.9581 | 21.2926 -157.9524 | 21.2855 -157.9485 | 21.2813 -157.9463 | 21.2813 -157.9463 | 22.2749 -159.9529 | 22.2774 -159.9188 |
| Detection Sensor | MMO & Bridge | Bridge | MMO & Bridge | MMO & Bridge | MMO & Bridge | MMO | MMO |
| Species/Group | Humpback whale | Humpback whale | Humpback whale | Humpback whale | Spinner dolphin | Humpback whale | Humpback whale |
| Group Size (min/max/best) | 2/2/2 | 1/1/1 | 3/3/3 | 1/1/1 | 40/60/45 | 1/1/1 | 1/1/1 |
| # Calves | | | | | | | |
| Bearing (rel) | 20 | 340 | 15 | 25 | 10 | 335 | 345 |
| Distance (m) | 5624.45 | 2011.68 | 4297.25 | 3502.28 | 4297.25 | 6729.16 | 15857.29 |
| · · · · · · | | | Environmental I | nformation | | | |
| Wave height (ft) | < 3 ft | < 3 ft | < 3 ft | < 3 ft | < 3 ft | > 6 ft | > 6 ft |
| Visibility | Excellent | Excellent | Excellent | Excellent | Excellent | Moderate | Moderate |
| BSS | 2 | 2 | 2 | 2 | 2 | 6 | 6 |
| % cloud cover | 80 | 80 | 80 | 80 | 80 | 100 | 100 |
| % glare | | | | | | 0 | 0 |
| | | | Operational In | formation | | | |
| Sonar on/off | Off | Off | Off | Off | Off | On | On |
| Ship bearing (true) | | | | | | 45 | 45 |
| Animal motion | None | | None | None | Closing | | |
| Sighting Cue/ Behavior | Saw 2 blows twice separated by a couple seconds. Animals were traveling | Blow | Saw blow, some surface activity. Animals dove as we approached. | Traveling | Bow riding | Blow | Breaching |
| Mitigation implemented | None | None | None | None | None | None | None |
| Comments | | | | | | | |

Table 4. Marine Mammal and Sea Turtle Sightings

| | Table | | | Sea Turue Sign | ings mormatic | | |
|------------------------------|--|--|-----------------------|----------------------|----------------------------|---|---|
| Data Category | Sighting 8 | Sighting 9 | Sighting 10 | Sighting 11 | Sighting 12 | Sighting 13 | Sighting 14 |
| | | | Sightings Info | ormation | | | |
| Effort (on/off) | On | On | On | On | On | On | On |
| Date | 2/16/2011 | 2/17/2011 | 2/17/2011 | 2/17/2011 | 2/17/2011 | 2/17/2011 | 2/18/2011 |
| Time | 144227 | 073909 | 081044 | 084318 | 163711 | 173426 | 094749 |
| Location | 22.3108 -159.9416 | 22.4286 -159.9663 | 22.3667 -159.9021 | 22.2226 -159.8471 | 22.3145 -160.0236 | 22.2359 -160.0426 | 22.2990 -159.8759 |
| Detection Sensor | MMO | MMO | MMO | MMO | MMO | Lookout | MMO & Bridge |
| Species/Group | Unidentified whale | Unidentified whale | Unidentified whale | Unidentified whale | Unidentified balaenopterid | Unidentified whale | Humpback whale |
| Group Size (min/max/best) | 2/3/2 | 3/5/4 | 1/1/1 | 1/1/1 | 2/2/2 | 1/1/1 | 4/5/4 |
| # Calves | | | | | | | |
| Bearing (rel) | 40 | 20 | 270 | 50 | 90 | 278 | 5 |
| Distance (m) | 6118.88 | 3349.49 | 4297.25 | 5624.45 | 4297.25 | 804.67 | 3502.28 |
| | | | Environmental I | nformation | | | |
| Wave height (ft) | > 6 ft | 4 – 6 ft | 4 – 6 ft | 4 – 6 ft | 4 – 6 ft | 4 – 6 ft | 4 – 6 ft |
| Visibility | Moderate | Good | Good | Good | Moderate | Moderate | Moderate |
| BSS | 6 | 5 | 5 | 5 | 5 | 6 | 4 |
| % cloud cover | 100 | 75 | 80 | 80 | 100 | 100 | 60 |
| % glare | 0 | 0 | 25 | 25 | 0 | 0 | 0 |
| | | | Operational In | formation | | | |
| Sonar on/off | On | On | Off | Off | Off | Off | On |
| Ship bearing (true) | 270 | 180 | | 47 | 209 | 32 | 15 |
| Animal motion | | None | None | None | None | | |
| Sighting Cue/ Behavior | Blow | At least 3 bushy, angled blows. | Blow | Blow | Blow | Small, whale-sized head sticking out of water. | Multiple blows, animals fluked. |
| Mitigation implemented | None | None | None | None | None | None | Bridge slowed upon initial sighting, and subsequently turned off sonar. |
| Comments | Potentially the same animal as sighting 7. | Unknown if angled blow was due to wind. Likely humpback or sperm whales. | | | Probable humpback whale | Saw with naked eye. Possible minke based on description. | Changed travel direction, split into two groups and dove under us as ship approached* |

* see raw data sheets for detailed behavioral observations for this sighting

| Table 2 (cont). Warme Wammar and Sea Turue Signungs mormation | | | | | | | | |
|---|----------------------|----------------------|--------------------------|----------------------|----------------------|----------------------|----------------------|--|
| Data Category | Sighting 15 | Sighting 16 | Sighting 17 | Sighting 18 | Sighting 19 | Sighting 20 | Sighting 21 | |
| | | | Sightings Infor | mation | | | | |
| Effort (on/off) | On | On | On | On | On | On | On | |
| Date | 2/18/2011 | 2/18/2011 | 2/18/2011 | 2/18/2011 | 2/18/2011 | 2/18/2011 | 2/18/2011 | |
| Time | 095827 | 101134 | 105832 | 130944 | 142612 | 151134 | 171121 | |
| Location | 22.3244 -159.8681 | 22.3648 -159.8757 | 22.3709 -159.8668 | 22.6786 -160.0604 | 22.5441 -160.0344 | 22.4620 -160.0066 | 22.2279 -160.0463 | |
| Detection Sensor | Bridge | Bridge | MMO | MMO | MMO | MMO & Bridge | MMO & Lookout | |
| Species/Group | Unidentified whale | Unidentified whale | Unidentified whale | Unidentified whale | Unidentified whale | Pilot whale | Humpback whale | |
| Group Size (min/max/best) | | 1 | 1/2/1 | 1/1/1 | 2/2/2 | 12/30/18 | 2/3/2 | |
| # Calves | | | | | | | | |
| Bearing (rel) | port bow | 20 | 271 | 340 | 340 | 355 | 80 | |
| Distance (m) | 1828.8 | 9144 | 6729.16 | 4297.25 | 732.71 | 1623.53 | 2343.29 | |
| | | | Environmental Int | formation | | | | |
| Wave height (ft) | 4-6 ft | 4 – 6 ft | 4 – 6 ft | < 3 ft | 4 – 6 ft | < 3 ft | < 3 ft | |
| Visibility | Moderate | Moderate | Good | Good | Moderate | Good | Good | |
| BSS | 4 | 4 | 4 | 3 | 4 | 3 | 3 | |
| % cloud cover | 60 | 60 | 0 | 70 | 25 | 40 | 45 | |
| % glare | 0 | 0 | 75 | 0 | 70 | 60 | 25 | |
| | | | Operational Info | rmation | | | | |
| Sonar on/off | On | On | Off | Off | Off | Off | Off | |
| Ship bearing (true) | | 80 | 293 | 333 | 181 | turning | | |
| Animal motion | | | None | | None | None | Parallel | |
| Sighting Cue/ Behavior | | Blow | Blow | Blow | Blow | resting | Traveling | |
| Mitigation implemented | None | None | None | None | None | None | None | |
| Comments | | | | | | | | |

| Table 2 (cont). Marine Mammai and Sea Turue Signungs information | | | | | | | | |
|--|----------------------|--|--|---|---|----------------------|--|--|
| Data Category | Sighting 22 | Sighting 23 | Sighting 24 | Sighting 25 | Sighting 26 | Sighting 27 | | |
| | | | Sightings Informa | tion | • | | | |
| Effort (on/off) | On | On | On | On | On | On | | |
| Date | 2/18/2011 | 2/19/2011 | 2/19/2011 | 2/19/2011 | 2/19/2011 | 2/19/2011 | | |
| Time | 173349 | 071041 | 092419 | 093604 | 095943 | 165504 | | |
| Location | 22.2680 -160.0411 | 21.8983 -159.6893 | 21.3597 -159.7108 | 21.3093 -159.6861 | 21.2026 -159.6281 | 20.4026 -158.7243 | | |
| Detection Sensor | MMO | MMO & Lookout | Lookout | MMO | ММО | MMO & Lookout | | |
| Species/Group | Humpback whale | Humpback whale | Unidentified whale | Unidentified Stenella sp. | Unidentified balaenopterid | Striped dolphin | | |
| Group Size (min/max/best) | 1/1/1 | 4/4/4 | 1 | 5/20/10 | 1/1/1 | 15/30/23 | | |
| # Calves | | 1 | | | | | | |
| Bearing (rel) | 65 | 356 | 355 | 110 | 20 | 330 | | |
| Distance (m) | 6118.88 | | | 1623.53 | 4862.98 | 1623.53 | | |
| | | E | nvironmental Infor | mation | | | | |
| Wave height (ft) | < 3 ft | < 3 ft | < 3 ft | < 3 ft | < 3 ft | < 3 ft | | |
| Visibility | Good | Moderate | Good | Good | Good | Good | | |
| BSS | 3 | 2 | 4 | 4 | 4 | 2 | | |
| % cloud cover | 45 | 95 | 60 | 60 | 60 | 90 | | |
| % glare | 25 | 0 | 20 | 20 | 20 | 5 | | |
| | | | Operational Inform | ation | | | | |
| Sonar on/off | Off | Off | Off | Off | Off | Off | | |
| Ship bearing (true) | 90 | 100 | 182 | 154 | 133 | 108 | | |
| Animal motion | None | Parallel | | Parallel | | Parallel | | |
| Sighting Cue/Behavior | Blow | Animals were observed resting at the surface. Two animals fluked up but came right back to same spot. At end of sighting, animals were slowly traveling northward along coast. | Saw blow and fluke. | Splashes, small <i>Stenella</i> sized bodies observed | Tall, thin blow at initial distance, then a flukeprint and a less distinguished blow observed past the beam. | Porpoising | | |
| Mitigation implemented | None | None | Ship turned immediately to 152 deg, unknown if it was a result of the whale sighting or tactical maneuvers. | | None | None | | |
| Comments | | | | | Likely blue, fin, or sei whale; 99% sure not a humpback. | | | |

| I able 2 (cont). Narine Nammal and Sea Turtle Signtings Information | | | | | | | | | |
|---|---|---|-----------------------------|---|----------------------|----------------------------|--|--|--|
| Data Category | Sighting 28 | Sighting 29 | Sighting 30 | Sighting 31 | Sighting 32 | Sighting 33 | | | |
| | • | Sightings Inf | ormation | | • | | | | |
| Effort (on/off) | On | On | On | On | On | On | | | |
| Date | 2/19/2011 | 2/20/2011 | 2/22/2011 | 2/22/2011 | 2/22/2011 | 2/22/2011 | | | |
| Time | 174633 | 084717 | 125707 | 131453 | 134530 | 135538 | | | |
| Location | 20.3223 -158.5413 | 20.0198 -157.7719 | 21.2141 -158.0171 | 21.2179 -158.0123 | 21.2586 -157.9580 | 23.7744 -157.9386 | | | |
| Detection Sensor | ММО | MMO | MMO | Bridge | MMO & Lookout | MMO | | | |
| Species/Group | Risso's dolphin | Unidentified small cetacean | Humpback whale | Unidentified whale | Unidentified whale | Humpback whale | | | |
| Group Size (min/max/best) | 32/50/40 | 5/20/10 | 3/4/3 | 1 | 1 | 2/2/2 | | | |
| # Calves | | | | | | | | | |
| Bearing (rel) | 340 | 70 | 50 | 110 | 350 | 40 | | | |
| Distance (m) | 3210.01 | 5624.45 | 6729.16 | 2040.56 | 182.88 | 4297.25 | | | |
| | | Environmental | Information | | | | | | |
| Wave height (ft) | < 3 ft | 4 – 6 ft | < 3 ft | < 3 ft | < 3 ft | < 3 ft | | | |
| Visibility | Good | Good | Good | Good | Good | Good | | | |
| BSS | 1 | 4 | 3 | 3 | 3 | 3 | | | |
| % cloud cover | cover 90 | | 50 | 45 | 45 | 45 | | | |
| % glare | 0 | 15 | 0 | 0 | 0 | 0 | | | |
| | · | Operational In | nformation | | | • | | | |
| Sonar on/off | Off | Off | Off | Off | Off | Off | | | |
| Ship bearing (true) | 110 | 238 | 58 | | 60 | | | | |
| Animal motion | Parallel | None | | | | | | | |
| Sighting Cue/ Behavior | Saw bodies, animals were milling | Saw splashes and body. | Blow, resting at surface | Blow | Blow | Blow, animals traveling | | | |
| Mitigation implemented | | None | None | None | None | None | | | |
| Comments | Black, smallish body, tall dorsals, 3 m long, blunt head; everything consistent with Risso's. Animals milling in loose dispersed group; at one point some were coming out of water more as if to bow ride, but then didn't. Some porpoised out of water, saw one leap out of water; most animals darker coloration, but some were whiteish. | Vessel was maneuvering, could not resight the animals. | | Distance not provided by bridge personnel, estimated by MMO after sighting. | | | | | |

| Data Category | Sighting 34 | Sighting 35 | Sighting 36 |
|------------------------|--|--|------------------------------------|
| Data Category | 0 | 8 8 | Signing 50 |
| | Sightings Info | rmation | ſ |
| Effort (on/off) | On | On | On |
| Date | 2/22/2011 | 2/22/2011 | 2/22/2011 |
| Time | 135819 | 140349 | 140349 |
| Location | 21.2821 | 21.3014 | 21.3014 |
| | -157.9459 | -157.9573 | -157.9573 |
| Detection Sensor | Lookout | Lookout | MMO & Lookout |
| Species/Group | Unidentified whale | Unidentified whale | Green turtle |
| Group Size | 1 | 2 | 1/1/1 |
| (min/max/best) | 1 | 2 | 1/1/1 |
| # Calves | | | |
| Bearing (rel) | 45 | 170 | 70 |
| Distance (m) 137.16 | | 2754.73 | 15.24 |
| | Environmental I | nformation | |
| Wave height (ft) | < 3 ft | < 3 ft | < 3 ft |
| Visibility | Good | Good | Good |
| BSS | 3 | 3 | 3 |
| % cloud cover | 45 | 45 | 45 |
| % glare | 0 | 0 | 0 |
| | Operational Inf | ormation | |
| Sonar on/off | Off | Off | Off |
| Ship bearing (true) | | 330 | 330 |
| Animal motion | | | |
| Sighting Cue/ Behavior | | | Swimming, dove when reached abeam. |
| Mitigation implemented | None | None | None |
| | Animal was not resigned by MMO; as sighting | Animals were not resighted by MMO; as sighting | |
| | happened while entering port, lookouts were | happened while entering port, lookouts were | |
| Commente | focused on obtaining bearings to landmarks, and | focused on obtaining bearings to landmarks, and | |
| Comments | different lookouts where on watch, we are unsure if | different lookouts where on watch, we are unsure | |
| | this sighting was actually an animal. Data point not | if this sighting was actually an animal. Data | |
| | included in future summaries. | point not included in future summaries | |

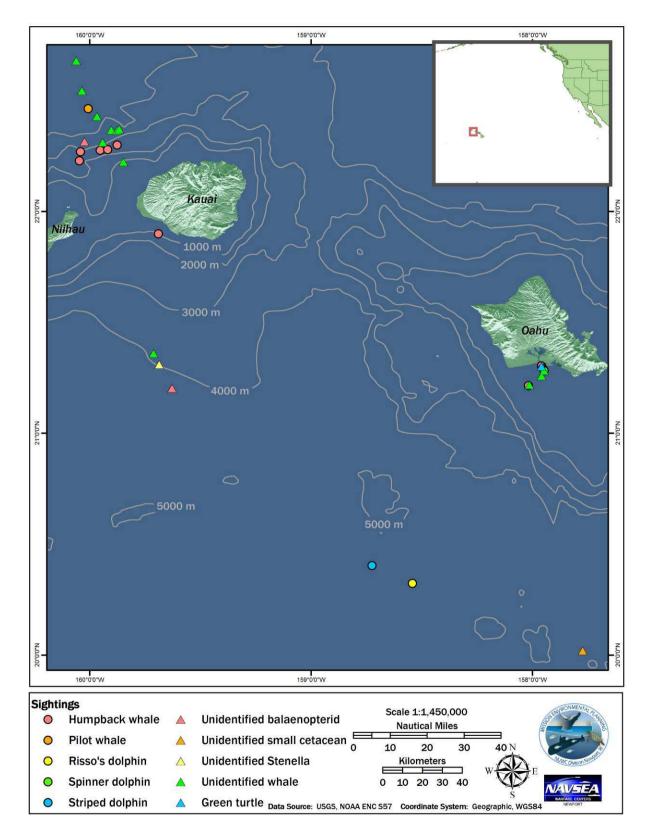


Figure 5. Marine Mammal and Sea Turtle Sighting Locations

Twenty-three of the sightings (68%) were considered trials for the lookout effectiveness study. Trials were conducted on all but one day of the study, for an average rate of 0.38 trials per hour across all eight days (Table 5).

| | Table 5. Enort nours, Signting Rates, and Thai Rates | | | | | | | | | |
|--------|--|---------------------------|--------------------|-------------|-------------|--|--|--|--|--|
| Date | Hours MMO Team Effort | # of Unique Sightings* | Sightings/ Hour | # of Trials | Trials/Hour | | | | | |
| 15 Feb | 58 min 20 sec | 5 | 5.14 | 4 | 4.11 | | | | | |
| 16 Feb | 9 hr 33 min 29 sec | 3 | 0.31 | 3 | 0.31 | | | | | |
| 17 Feb | 9 hr 31 min 34 sec | 5 | 0.52 | 4 | 0.42 | | | | | |
| 18 Feb | 9 hr 26 min 50 sec | 9 | 0.95 | 6 | 0.64 | | | | | |
| 19 Feb | 9 hr 12 min 41 sec | 6 | 0.65 | 4 | 0.43 | | | | | |
| 20 Feb | 9 hr 0 min 07 sec | 1 | 0.11 | 1 | 0.11 | | | | | |
| 21 Feb | 8 hr 42 min 40 sec | 0 | 0.00 | 0 | 0.00 | | | | | |
| 22 Feb | 4 hr 5 min 29 sec | 5 | 1.22 | 1 | 0.24 | | | | | |
| Total | | 34 | 0.56 | 23 | 0.38 | | | | | |

 Table 5. Effort Hours, Sighting Rates, and Trial Rates

* Number of sightings includes both MMO and Navy lookout team sightings combined

Of particular interest was sighting 14, as behavioral information was able to be gathered while active sonar was in use. Initial sighting of a group of 4 humpback whales was observed approximately 3800 yds (3500 m) from the vessel at bearing 005° relative (as recorded by the MMOs). On the fourth resight of the animals by the MMOs, the bridge team also sighted the animals at an estimated distance of 2000 yds (1800 m) (Note: at the same time, MMO noted the animals at 3 reticles (1154 m) off the starboard bow. Immediately upon sighting the animals, the ship slowed speed to steerage, and called down for CIC to halt active sonar. On the animals' fifth surfacing, the animals had turned sharply away from the vessel, but on the sixth surfacing, turned 180° towards the vessel and dove under the bow of the ship. Two minutes later, the cow calf pair were observed surfacing about 100 yds (91 m) off the port beam and the other two animals were observed about 300 yds (182 m) astern of the vessel. The entire sighting duration was 8 minutes and 18 seconds.

In addition to marine mammal and sea turtle sightings, 93 seabirds were recorded during this effort (Table 6 and Figure 6). Seabird sightings were not recorded if identification at least to family level was not possible. Because seabird data collection was not an objective of this study, data was only collected when it would not interfere with marine mammal data collection. Species observed included Laysan albatross, Red-footed booby, brown booby, black-footed albatross, white-tailed tropicbird, gadfly petrel, gadfly petrel, sooty tern, white tern, and various unidentified birds.

| Date | Sighting Number | Time | Species | Group Size | Location |
|--------|--------------------|--------|---------------------------------|------------|--------------------|
| 15 Feb | 1 | 175611 | Tropicbird | | 21.19842 -157.9591 |
| 16 Feb | 2 | 082157 | Laysan albatross | 1/1/1 | 22.41833 -159.9656 |
| 16 Feb | 3 | 084209 | Red-footed booby | 1/1/1 | 22.37158 -159.9337 |
| 16 Feb | 4 | 091727 | Laysan albatross | 1/1/1 | 22.39036 -159.9380 |
| 16 Feb | 5 | 093111 | Brown booby | 1/1/1 | 22.42553 -159.9718 |
| 16 Feb | 6 | 110035 | Booby | 1/1/1 | 22.56667 -160.1281 |
| 16 Feb | 7 | 114204 | Laysan albatross | 3/3/3 | 22.59389 -160.1658 |
| 16 Feb | 8 | 114230 | Booby | 1/1/1 | 22.59583 -160.1661 |
| 16 Feb | 9 | 133014 | Albatross (probable Laysan) | | 22.35003 -159.9823 |
| 16 Feb | 10 | 144828 | Red-footed booby | | 22.32369 -159.9391 |
| 16 Feb | 11 | 162954 | Laysan albatross | | 22.46186 -159.9191 |
| 16 Feb | 12 | 165131 | Black-footed albatross | | 22.5085 -159.9187 |
| 16 Feb | 13 | 172153 | Red-footed booby | | 22.58611 -159.9179 |
| 17 Feb | 14 | 072514 | Red-footed booby | 1/1/1 | 22.45708 -159.9735 |
| 17 Feb | 15 | 073410 | Red-footed booby | 1/1/1 | 22.43989 -159.9659 |
| 17 Feb | 16 | 074645 | Laysan albatross | 1/1/1 | 22.41072 -159.9593 |
| 17 Feb | 17 | 082900 | Red-footed booby | 1/1/1 | 22.28469 -159.8555 |
| 17 Feb | 18 | 090102 | Red-footed booby and tropicbird | 1 each | 22.27064 -159.8378 |
| 17 Feb | 19 | 091651 | Laysan albatross | 1/1/1 | 22.30983 -159.8790 |
| 17 Feb | 20 | 091752 | Laysan albatross | 2/2/2 | 22.31222 -159.8819 |
| 17 Feb | 21 | 092033 | White-tailed tropicbird | 1/1/1 | 22.31483 -159.8909 |
| 17 Feb | 22 | 093216 | Laysan albatross | 1/1/1 | 22.32406 -159.9278 |
| 17 Feb | 23 | 094403 | Red-footed booby | 1/1/1 | 22.34608 -159.9534 |
| 17 Feb | 24 | 094550 | Tropicbird | 1/1/1 | 22.34708 -159.9480 |
| 17 Feb | 25 | 111852 | Red-footed booby | 1/1/1 | 22.36817 -159.9216 |
| 17 Feb | 26 | 131404 | Black-footed booby | 1/1/1 | 22.54967 -159.9033 |
| 17 Feb | 27 | 162818 | Laysan albatross | 1/1/1 | 22.33133 -160.0128 |
| 17 Feb | 28 | 163222 | Red-footed booby | 3/3/3 | 22.32292 -160.0182 |
| 17 Feb | 29 | 163222 | Gadfly petrel | 1/1/1 | 22.32292 -160.0182 |
| 17 Feb | 30 | 165547 | Laysan albatross | 1/1/1 | 22.28331 -160.0436 |
| 17 Feb | 31 | 172256 | Laysan albatross | 1/1/1 | 22.23558 -160.0579 |
| 17 Feb | 32 | 174744 | Black-footed albatross | 1/1/1 | 22.25719 -160.0433 |
| 18 Feb | 33 | 074629 | Red-footed booby | 1/1/1 | 22.39322 -159.8907 |
| 18 Feb | 34 | 075345 | Laysan albatross | 1/1/1 | 22.37947 -159.8951 |
| 18 Feb | 35 | 075611 | Red-footed booby | 1/1/1 | 22.37261 -159.8997 |
| 18 Feb | 36 | 080817 | Laysan albatross | 1/1/1 | 22.33361 -159.9035 |
| 18 Feb | 37 | 081520 | Red-footed booby | 1.1.1 | 22.26111 -159.9079 |
| 18 Feb | 38 | 083450 | Laysan albatross | 1/1/1 | 22.29328 -159.9175 |
| 18 Feb | 39 | 093043 | Laysan albatross | 1/1/1 | 22.23547 -159.8653 |
| 18 Feb | 40 | 093600 | Red-footed booby | 1/1/1 | 22.25275 -159.8690 |

Table 6. Bird Sightings

| Date | Sighting Number | Time | Species | Group Size Location | |
|--------|--------------------|--------|--|---------------------|--------------------|
| 18 Feb | 41 | 093700 | Laysan albatross | 1/1/1 | 22.25689 -159.8667 |
| 18 Feb | 42 | 103650 | Red-footed booby | 1/1/1 | 22.39239 -159.8491 |
| 18 Feb | 43 | 104359 | Black-footed albatross | 1/1/1 | 22.38467 -159.8516 |
| 18 Feb | 44 | 111451 | Frigatebird | 1/1/1 | 22.40414 -159.8907 |
| 18 Feb | 45 | 113031 | Red-footed booby | 1/1/1 | 22.44219 -159.9270 |
| 18 Feb | 46 | 113251 | Red-footed booby | 2/2/2 | 22.44819 -159.9327 |
| 18 Feb | 47 | 130445 | Red-footed booby | 3/3/3 | 22.66494 -160.0511 |
| 18 Feb | 48 | 141308 | Black-footed albatross | 1/1/1 | 22.57042 -160.0347 |
| 18 Feb | 49 | 164731 | Red-footed booby | 1/1/1 | 22.23097 -159.9903 |
| 18 Feb | 50 | 172106 | Red-footed booby | 1/1/1 | 22.24142 -160.0559 |
| 18 Feb | 51 | 175832 | Laysan albatross | 1/1/1 | 22.26414 -159.9815 |
| 19 Feb | 52 | 072316 | Tropicbird | 1/1/1 | 21.88764 -159.6763 |
| 19 Feb | 53 | 073754 | Tropicbird | 3/3/3 | 21.84258 -159.6781 |
| 19 Feb | 54 | 082609 | Red-footed booby | 1/1/1 | 21.62922 -159.7015 |
| 19 Feb | 55 | 084415 | Red-footed booby | 2/2/2 | 21.5465 -159.7112 |
| 19 Feb | 56 | 094530 | White-tailed tropicbird | | 21.26786 -159.6662 |
| 19 Feb | 57 | 100607 | White-tailed tropicbird | 1/1/1 | 21.17733 -159.6003 |
| 19 Feb | 58 | 101125 | White-tailed tropicbird | 1/1/1 | 21.15731 -159.5757 |
| 19 Feb | 59 | 121057 | Unidentified albatross | 1/1/1 | 20.811 -159.1418 |
| 19 Feb | 60 | 133451 | White-tailed tropicbird | 1/1/1 | 20.81342 -159.1201 |
| 19 Feb | 61 | 141155 | Red-footed booby | 5/5/5 | 20.84639 -159.1885 |
| 19 Feb | 62 | 143429 | Booby | 4/4/4 | 20.84642 -159.1961 |
| 19 Feb | 63 | 153543 | Brown booby | 2/2/2 | 20.66133 -158.9955 |
| 19 Feb | 64 | 155701 | Sooty terns, dark shearwaters (unknown species), frigatebird | 25/45/35 | 20.59542 -158.9168 |
| 20 Feb | 65 | 074609 | Frigatebird | 1/1/1 | 20.16344 -157.6577 |
| 20 Feb | 66 | 081217 | Laysan albatross | 1/1/1 | 20.09725 -157.7113 |
| 20 Feb | 67 | 081526 | Frigatebird | 1/1/1 | 20.09161 -157.7160 |
| 20 Feb | 68 | 105646 | Shearwater | 1/1/1 | 20.00678 -157.4329 |
| 20 Feb | 69 | 144716 | Frigatebird | 1/1/1 | 19.70592 -157.2277 |
| 21 Feb | 70 | 072001 | Red-footed booby | 1/1/1 | 19.62458 -157.9643 |
| 21 Feb | 71 | 074338 | Sooty tern | 30/50/40 | 19.15917 -158.0626 |
| 21 Feb | 72 | 074855 | Buller's Shearwater | 1/1/1 | 19.65717 -158.0859 |
| 21 Feb | 73 | 075849 | Sooty Tern | 6/6/6 | 19.66903 -158.1299 |
| 21 Feb | 74 | 081559 | Unidentified tropicbird | 1/1/1 | 19.69011 -158.2057 |
| 21 Feb | 75 | 082333 | Sooty tern | 15/20/18 | 19.69972 -158.2397 |
| 21 Feb | 76 | 082949 | Red-footed booby & Sooty tern | 35/50/45 | 19.70767 -158.2678 |
| 21 Feb | 77 | 095029 | Sooty tern | 1/1/1 | 19.85894 -158.2930 |
| 21 Feb | 78 | 114720 | Red-footed booby | 1/1/1 | 19.78878 -158.2834 |
| 21 Feb | 79 | 133845 | Red-footed booby | 1/1/1 | 19.88253 -158.2843 |
| 21 Feb | 80 | 153535 | Red-footed booby | 1/1/1 | 19.80322 -158.1905 |

| Date | Sighting Number | Time | Species | Group Size | Location |
|--------|--------------------|--------|-----------------------------|------------|--------------------|
| 21 Feb | 81 | 165532 | Red-footed booby | 2/2/2 | 19.75808 -158.1070 |
| 22 Feb | 82 | 103305 | Sooty tern | 6/6/6 | 20.61481 -158.5685 |
| 22 Feb | 83 | 104053 | Red-footed booby | 1/1/1 | 20.65536 -158.5321 |
| 22 Feb | 84 | 104501 | Tropicbird | 1/1/1 | 20.67686 -158.6629 |
| 22 Feb | 85 | 112705 | Tropicbird | 3/3/3 | 20.89217 -158.3138 |
| 22 Feb | 86 | 121253 | White tern (aka fairy tern) | 6/6/6 | 21.08514 -158.1498 |
| 22 Feb | 87 | 121253 | Frigatebird | 1/1/1 | 21.08514 -158.1498 |
| 22 Feb | 88 | 121905 | White tern (aka fairy tern) | 1/1/1 | 21.10631 -158.1315 |
| 22 Feb | 89 | 122905 | White tern (aka fairy tern) | 1/1/1 | 21.10631 -158.0914 |
| 22 Feb | 90 | 122905 | Sooty tern | 2/2/2 | 21.10631 -158.0914 |
| 22 Feb | 91 | 123123 | Tropicbird | 1/1/1 | 21.14728 -158.0820 |
| 22 Feb | 92 | 124833 | Red-footed booby | 16/50/25 | 21.1935 -158.0275 |
| 22 Feb | 93 | 133444 | Red-footed booby | 1/1/1 | 21.24503 -157.9803 |

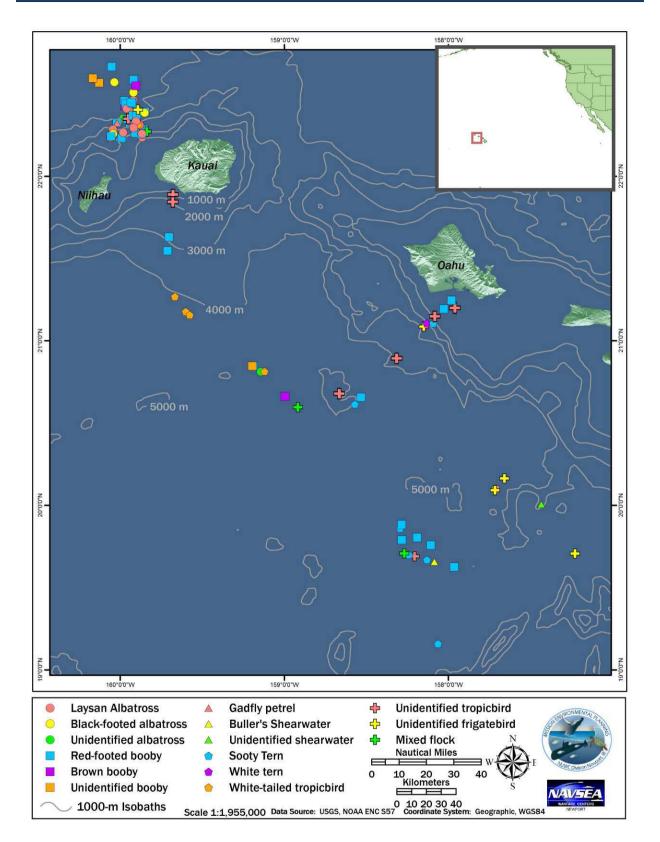


Figure 6. Bird Sighting Locations

2.2. AERIAL MONITORING

Sightings and focal follow information will be reported by the contractor under a separate report.

SECTION 3 CONCLUSION

3.1. MARINE MAMMAL MONITORING GOALS

The goals of the lookout effectiveness monitoring effort are provided below, with a conclusion regarding each of the goals:

1. Collect data to determine the effectiveness of the Navy lookout team.

The data collected provides the highest number of trials collected in Hawaiian waters. This event is the fourth aboard a DDG in which data were collected to determine effectiveness; data will be combined with future monitoring efforts in order to determine the effectiveness of Navy lookouts as a whole, rather than specific to each vessel.

2. Obtain data to characterize the possible exposure of marine species to MFAS.

Sightings information included the bearing and distance of the animal to DDG-D. This information can be used to determine, if MFAS was in use, what level the animal may have been exposed to MFAS. Reconstruction of the event and the determination of the possible exposures of marine species to MFAS will be completed under separate task. Obtaining the data needed to make these determinations was successful.

3. Achieve close coordination between the contracted aerial survey team, Navy aircraft on the range, range control, and the MMO team aboard DDG-D to facilitate maximizing survey time and project safety

Communication between the survey aircraft, MMOs, range control, and other aircraft was successful, maintaining safety of all participants.

3.2. PROTOCOL AND EQUIPMENT RECOMMENDATIONS

Changes to the data forms, protocols, and recommended equipment and logistics were made by the MMO team, and will be considered for implementation in future studies.

3.2.1. Data Forms

Specific data form recommendations include:

- Sightings form
 - Need to add "Sightings" to the top of the form to distinguish it more easily from the Effort form
 - Combine number of calves and group size into one column
 - Combine animal bearing and distance into one column

- Hyphenate "Mitigation" and add "Y/N" in cells; specific information on type of mitigation would be added to comments column
- o Change last column to "Behavior/Type of Mitigation/Comments"
- Add a column field for ship speed; the data was used for the initial data analysis. Rather than actual speeds, categories of speed (e.g., < 5 kts, 5-10 kts, etc) could be used
- Effort Form
 - Need to add "Effort" to the top of the form to distinguish it more easily from the Sightings form.
 - Visibility column can be narrowed, add categories to circle
 - Change glare to total percent glare for 180 degrees; given amount of maneuvering, a 180 degree percent glare would be more useful

3.2.2. Lookout Effectiveness Study Protocol

- Include a cover letter with the report for Navy internal discussions to provide observations not suitable for the report
- A challenge noted by the MMOs is surveying while the ship is moving at high speeds and/or when the relative wind is directly towards the MMOs. Relative wind speeds in excess of 40 kts occurred at various times, making observation for the MMOs and the lookouts difficult. Protocol should account for this type of challenge in detecting animals from which to run trials.
- When the MMOs observe animals directly off the bow that are not observed by the LO team, it can be a challenge to determine when to abandon the trial and inform the LO team for appropriate mitigation. When faced with this challenge, it is recommended that the MMOs err on the side of caution and inform the LO team if the ship is traveling quickly and sonar is active.
- Need to update the protocol document to account for recent changes.
 - As part of the update, eliminate unnecessary information (e.g., history) so that it is more straightforward for what the MMOs will be doing.
 - Report needs to include better description of how sightings are to be numbered (e.g., decimal numbering, when bridge/lookout sees animal at the same time, etc)
- Each lookout acted differently around the MMOs. Some of the lookouts were very aware of our presence and were using us to cue them for animals.
- Need to be careful about indicating when the lookouts arrive or leave the bridge wings; if they can hear us, they are aware we are monitoring them as well.
- Recommendations for a brief to the CO/XO/crew
 - Clarify that the MMOs are not part of their mitigation and that we are not replacing their lookouts nor the chain of command for the lookouts.
 - Request the information on why we are there be provided to the crew; many crewmembers (officers and enlisted) were unsure why we were there.
 - Stress the motivation: the lookouts are the most important form of mitigation, and this importance needs to be made clearer.

- Mitigation requirements for each of the two exercises required discussion between the CPF representative and the ship's officers. Interpretation of the mitigation requirements by the ship's CO and other officers was different than the intent of the mitigation. Discussions between the CPF representative and the ship was required to clarify and correct these discrepancies. For future cruises, recommend the brief include additional information regarding the Fleet messages and PMAP to clarify any questions as they arise.
- DDG-D indicated that sightings made by the MMOs were to be reported with observations made by the lookouts to satisfy daily reporting requirements. Clarification was required to inform the ship that the MMOs were not part of their chain of command with regard to reporting. Recommend future Fleet messages and brief ensure it is clear that sightings made by MMOs are separate from any reporting required for the ship.