Passive Acoustic Monitoring for Marine Mammals in the SOCAL Range Complex November 2018–May 2020

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Fin whale, Photo by Michael Smith

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A.C.R. compiled, wrote, and edited report, conducted ambient soundscape analysis, as well as all low-frequency marine mammal analysis, and produced all plots. M.A.R. conducted explosion analysis. J.S.T. conducted beaked whale and MFA sonar analysis. S.M.W. contributed to algorithm development. S.B.P. and J.A.H. developed and managed the project.

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Executive Summary

Passive acoustic monitoring was conducted in the Navy's Southern California Range Complex from November 2018 to May 2020 to detect marine mammal and anthropogenic sounds. High-frequency Acoustic Recording Packages (HARPs) recorded sounds between 10 Hz and 100 kHz at four locations: two west of San Clemente Island (1,300 m depth, site E and 1,000 m depth, site H) and two southwest of San Clemente Island (1,250 m depth, site N and 1,200 m depth, site U).

While a typical southern California marine mammal assemblage is consistently detected in these recordings (Hildebrand *et al.*, 2012), only a select sub-set of species including blue and fin whales, listed as "Endangered," and beaked whales were analyzed for this report. The low-frequency ambient soundscape and the presence of Mid-Frequency Active (MFA) sonar and explosions are also reported.

Ambient sound levels were highest for frequencies greater than ~200 Hz at site E and lowest at site H, likely related to local wind. Peaks in sound levels at all sites during the fall and winter are related to the seasonally increased presence of blue whales and fin whales, respectively.

For marine mammal and anthropogenic sounds, data analysis was performed using automated computer algorithms. Calls of two baleen whale species were detected: blue whale B calls and fin whale 20 Hz calls. Both species were present at all sites: blue whale B calls were highest at site E and the fin whale acoustic index, representative of 20 Hz calls, was highest at site E and lowest at site U. Blue whale B call detections peaked in August 2019 and again in October 2019 at sites H and N. Very few blue whale B calls were detected after January 2020. The fin whale acoustic index was highest from October 2019 to April 2020.

Frequency modulated (FM) echolocation pulses from Cuvier's beaked whales were regularly detected at all sites, but were detected in much higher numbers at sites E and H. At site E, detections were highest in December 2019, while at site H they peaked in August 2019 and again from February to May 2020. The new beaked whale FM pulse type, BW37V (previously referred to as BW35; Rice *et al.*, 2019; Rice *et al.*, 2020), thought to be produced by Hubbs' beaked whale (Griffiths *et al.*, 2018), was detected only in January 2020 at site E, in December 2019 and January 2020 at site H, and on only one day in March 2020 at site N. The FM pulse type, BW43, thought to be produced by Perrin's beaked whale (Baumann-Pickering *et al.*, 2014), was detected intermittently throughout the recording period at sites N and U. No other beaked whale signal types were detected.

Two anthropogenic pulsed signals were detected: MFA sonar and explosions. MFA sonar was detected at all sites with peaks in February, August, and November 2019. Site N had the most MFA sonar packet detections normalized per year and the highest cumulative sound exposure levels, including events concurrent with a major naval exercise during November 2018. Site E had the lowest number of sonar packet detections, while site H had the lowest maximum cumulative sound exposure level.

Explosions were detected at all sites, but were highest in October and November 2019 and February 2020 at site H. At site H, temporal and spectral parameters suggest primarily association with fishing, specifically with the use of seal bombs.

Project Background

The Navy's Southern California (SOCAL) Range Complex is located in the Southern California Bight and the adjacent deep waters to the west. This region has a highly productive marine ecosystem due to the southward flowing California Current and associated coastal current system. A diverse array of marine mammals is found here, including baleen whales, beaked whales, and other toothed whales and pinnipeds.

In January 2009, an acoustic monitoring effort was initiated within the SOCAL Range Complex with support from the U.S. Pacific Fleet. The goal of this effort was to characterize the vocalizations of marine mammal species present in the area, determine their seasonal presence, and evaluate the potential for impact from naval training. In this current effort, the goal was to explore the seasonal presence of a subset of species of particular interest, including blue whales, fin whales, and beaked whales. In addition, the low-frequency ambient soundscape, as well as the presence of Mid-Frequency Active (MFA) sonar and explosions were analyzed.

This report documents the analysis of data recorded by High-frequency Acoustic Recording Packages (HARPs) that were deployed at four sites within the SOCAL Range Complex and collected data between November 2018 and May 2020 (Table 1; Table 2; Table 3; Table 4). The four recording sites include two to the west (sites E and H) and two to the south (sites N and U) of San Clemente Island (Figure 1; Figure 2).

Table 1. SOCAL Range Complex acoustic monitoring at site E since January 2009. Periods of instrument deployment analyzed in this report are shown in bold.

Deployment #	Monitoring Period	# Hours
31	1/13/09 – 3/9/09	1302
32	3/13/09 – 5/7/09	1302
33	5/19/09 – 7/12/09	1302
34	7/24/09 – 9/16/09	1302
61	3/5/17 – 7/10/17	3063
62	7/11/17 – 2/10/18	5148
63	3/15/18 – 7/11/18	2843
64	7/12/18 – 11/28/18	3356
65	11/29/18 – 5/7/19	3838
66	-	-
67	**11/9/19 – 5/8/20**	**4362**

Table 2. SOCAL Range Complex acoustic monitoring at site H since January 2009. Periods of instrument deployment analyzed in this report are shown in bold.

Deployment #	Monitoring Period	# Hours
31	1/13/09 – 3/8/09	1320
32	3/14/09 – 5/7/09	1320
33	5/19/09 – 6/13/09	600
34	7/23/09 – 9/15/09	1296
35	9/25/09 – 11/18/09	1320
36	12/6/09 – 1/29/10	1296
37	1/30/10 – 3/22/10	1248
38	4/10/10 – 7/22/10	2472
40	7/23/10 – 11/8/10	2592
41	12/6/10 – 4/17/11	3192
44	5/11/11 – 10/12/11	2952
45	10/16/11 – 3/5/12	3024
46	3/25/12 – 7/21/12	2856
47	8/10/12 – 12/20/12	3192
48	12/21/12 – 4/30/13	3140
49	-	-
50	9/10/13 – 1/6/14	2843
51	1/7/14 – 4/3/14	2082
52	4/4/14 – 7/30/14	2814
53	7/30/14 – 11/5/14	2340
54	11/5/14 – 2/4/15	2198
55	2/5/15 – 6/1/15	2800
56	6/2/15 – 10/3/15	2952
57	-	-
58	11/21/15 – 4/25/16	3734
59	7/6/16 – 11/9/16	3011
60	-	-
61	2/22/17 – 6/6/17	2518
62	6/7/17 – 10/4/17	2879
63	10/5/17 – 11/3/17	707
65	7/9/18 – 11/28/18	3413
66	11/29/18 – 5/5/19	3784
67	**6/1/19 – 12/8/19**	**4557**
68	**12/8/19 – 5/8/20**	**3644**

Table 3. SOCAL Range Complex acoustic monitoring at site N since January 2009.
Periods of instrument deployment analyzed in this report are shown in bold. Dates in italics were only used for high frequency analysis.

Deployment #	Monitoring Period	# Hours
31	1/14/09 – 3/9/09	1296
32	3/14/09 – 5/7/09	1320
33	5/19/09 – 7/12/09	1296
34	7/22/09 – 9/15/09	1320
35	9/26/09 – 11/19/09	1296
36	12/6/09 – 1/26/10	1224
37	1/31/10 – 3/26/10	1296
38	4/11/10 – 7/18/10	2352
40	7/23/10 – 11/8/10	2592
41	12/7/10 – 4/9/11	2952
44	5/12/10 – 9/23/11	3216
45	10/16/11 – 2/13/12	2904
46	3/25/12 – 8/5/12	3216
47	8/10/12 – 12/6/12	2856
48	12/20/12 – 5/1/13	3155
49	5/2/13 – 9/11/13	3156
50	-	-
51	1/7/14 – 2/16/14	956
52	4/4/14 – 7/30/14	2817
53	7/30/14 – 11/5/14	2342
54	11/4/14 -2/5/15	2196
55	2/5/15 – 2/23/15	433
56	6/2/15 – 10/3/15	2966
57	10/3/15 – 11/21/15	1168
58	*11/21/15 – 4/18/16*	*3578*
59	7/7/16 – 11/8/16	2999
60	11/9/16 – 2/21/17	2457
61	2/21/17 – 6/7/17	2528
62	6/7/17 – 12/21/17	4723
63	2/4/18 – 7/9/18	3722
64	7/9/18 – 11/28/18	3417
65	11/29/18 – 5/5/19	3768
66	**5/5/19 – 11/7/19**	**4481**
67	**11/8/19 – 4/29/20**	**4148**

Table 4. SOCAL Range Complex acoustic monitoring at site U since November 2018.

Deployment #	Monitoring Period	# Hours
01	11/18/18 – 6/11/19	4936
02	11/6/19 – 1/16/20	1689
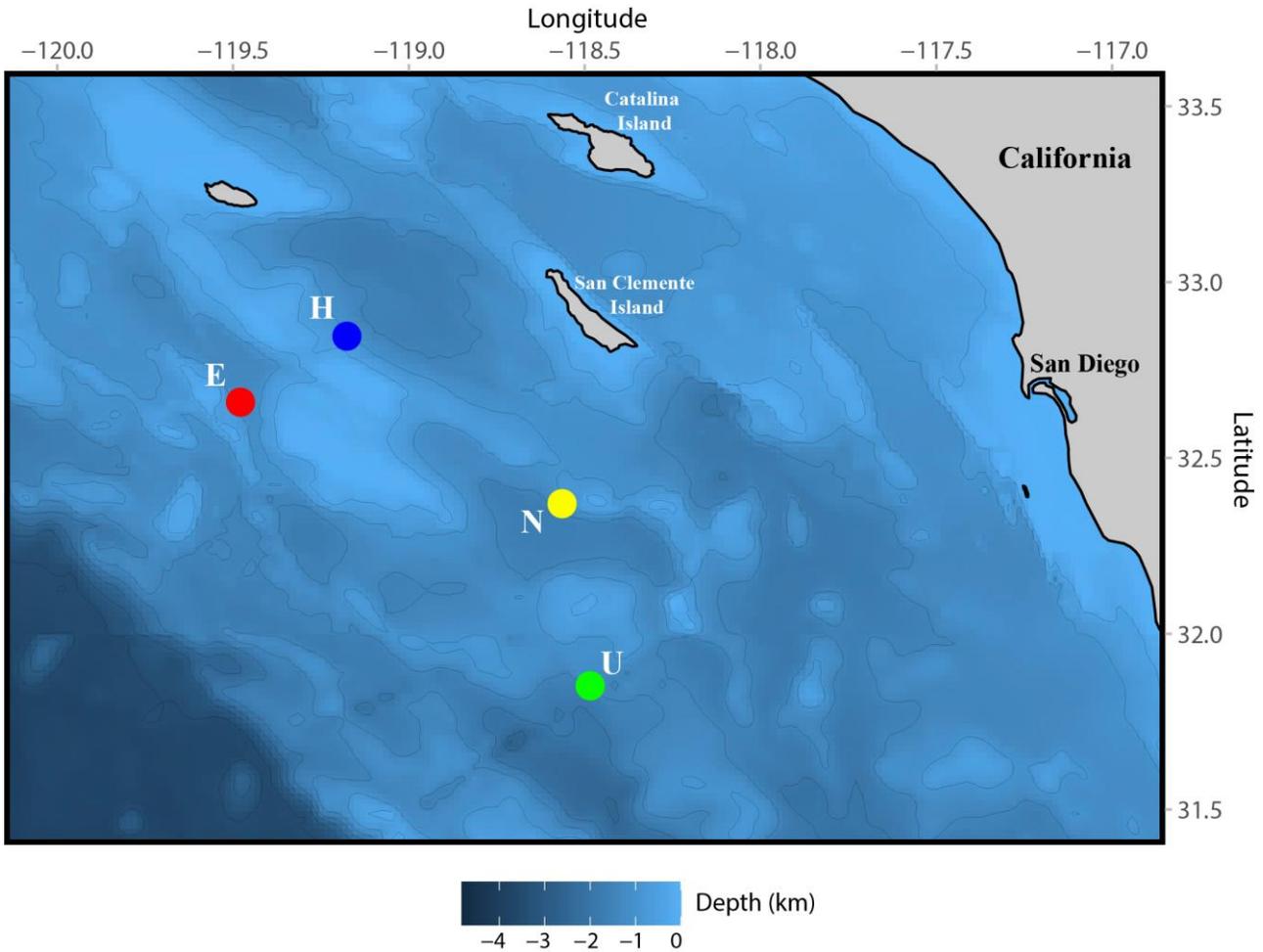
Figure 1. Locations of High-frequency Acoustic Recording Package (HARP) deployment sites E, H, N, and U (circles) in the SOCAL study area from November 2018 through May 2020. Color indicates bathymetric depth. Contour lines represent 500 m depth increments.
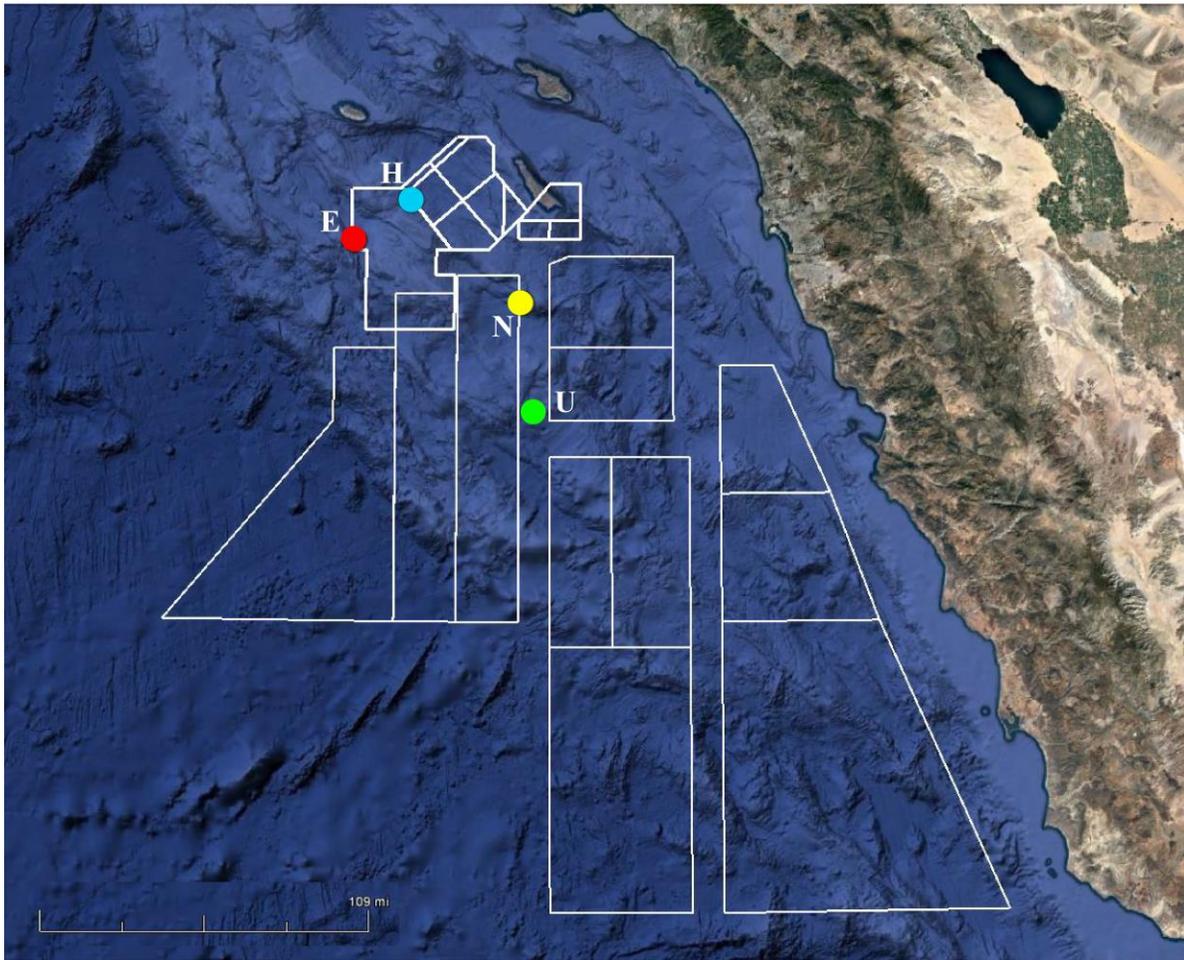
Figure 2. Locations of High-frequency Acoustic Recording Package (HARP) deployments in the SOCAL study area (colored circles) and US Naval Operation Areas (white boxes).

Methods

High-frequency Acoustic Recording Package (HARP)

HARPs were used to record the low-frequency ambient soundscape as well as marine mammal and anthropogenic sounds in the SOCAL area. HARPs can autonomously record underwater sounds from 10 Hz up to 160 kHz and are capable of up to approximately one year of continuous data storage. The HARPs were deployed in a seafloor mooring configuration with the hydrophones suspended at least 10 m above the seafloor. Each HARP hydrophone is calibrated in the laboratory to provide a quantitative analysis of the received sound field. Representative data loggers and hydrophones were also calibrated at the Navy's Transducer Evaluation Center facility to verify the laboratory calibrations (Wiggins and Hildebrand, 2007).

Data Collected

Acoustic recordings have been collected within the SOCAL Range Complex near San Clemente Island since 2009 (Table 2; Table 2; Table 3) using HARPs sampling at 200 kHz. The sites analyzed in this report are designated site E (32° 39.54' N, 119° 28.71' W, depth 1,300 m), site H (32° 50.76'N, 119° 10.57' W, depth 1,000 m), site N (32° 22.21' N, 118° 33.85' W, depth 1,250 m), and site U (31° 51.1' N, 118° 29.07' W, depth 1,200 m).

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Site E recorded from November 9, 2019 to May 8, 2020 (data from earlier in 2019 was not usable as some of the mooring floats imploded during deployment, causing the cards within the datalogger to become unseated), site H recorded from June 1, 2019 to May 8, 2020, site N recorded from May 5, 2019 to April 29, 2020, and site U recorded from November 18, 2018 to June 11, 2019 (although there was a gap from November 18 to November 26 in the low and mid-frequency data due to a bad connection between the datalogger and battery that resulted in low-frequency noise), and again from November 6, 2019 to January 16, 2020 (this deployment was cut short due to a bad port on the battery case). For all four sites, a total of 27,817 h (1,159 days) of acoustic data were recorded in the deployments analyzed in this report.

Data Analysis

Recording over a broad frequency range of 10 Hz to 100 kHz allows quantification of the low-frequency ambient soundscape, detection of baleen whales (mysticetes), toothed whales (odontocetes), and anthropogenic sounds. Analyses were conducted using appropriate automated detectors for whale and anthropogenic sound sources. Analysis was focused on the following species: blue whales (*Balaenoptera musculus*), fin whales (*B. physalus*), and Cuvier's beaked whales (*Ziphius cavirostris*). In addition, the data were screened for signals from Blainville's (*Mesoplodon densirostris*) and Stejneger's (*M. stejnegeri*) beaked whales, as well as for FM pulse types known as BW43 and BW70, which may belong to Perrin's (*M. perrini*) and pygmy beaked whales (*M.peruvianus*), respectively (Baumann-Pickering *et al.*, 2014). A recently identified beaked whale signal type (Griffiths *et al.*, 2018), possibly belonging to Hubbs' beaked whale (*M. carlhubbsi*), was found at some sites during this reporting period and is referred to as BW37V (previously referred to as BW35; Rice *et al.*, 2019; Rice *et al.*, 2020). A description of relevant signal types can be found below. Individual blue whale B calls, beaked whale echolocation clicks, as well as MFA sonar and explosion occurrence and levels were detected automatically using computer algorithms. Presence of fin whale 20 Hz calls was detected using an energy detection method and is reported as a daily average, termed the 'fin whale acoustic index' (Širović *et al.*, 2015). For analysis of low-frequency signals (i.e., the low-frequency ambient soundscape, blue whale B calls, and fin whale 20 Hz calls), data were decimated by a factor of 100 for an effective bandwidth of 10 Hz to 1 kHz and long-term spectral averages (LTSAs) were created using a time average of 5 seconds and frequency bins of 1 Hz. For analysis of MFA sonar, data were decimated by a factor of 20 for an effective bandwidth of 10 Hz to 5 kHz and LTSAs were created using a time average of 5 seconds and frequency bins of 10 Hz. Full bandwidth data were used for the analysis of beaked whale signals and LTSAs were created using a time average of 5 seconds and a frequency bin size of 100 Hz. Details of all automatic and manual detection methods are described below.

Low-frequency Ambient Soundscape

HARPs write sequential 75 s acoustic records, from which sound pressure levels were calculated. Five, 5 s sound pressure spectrum levels from the middle of each 75 s acoustic record were averaged to avoid system self-noise (specifically hard drive disk writes). Spectra from each day were subsequently combined as daily spectral averages.

Blue Whales

Blue whales produce a variety of calls worldwide (McDonald *et al.*, 2006). Calls recorded in the eastern North Pacific include the Northeast Pacific blue whale B call (Figure 3) and D call. Northeast Pacific blue whale B calls are geographically distinct and potentially associated with mating functions (McDonald *et al.*, 2006; Oleson *et al.*, 2007). They are low-frequency

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(fundamental frequency ~20 Hz), long duration (> 10 s) calls that are often regularly repeated. D calls are downswept in frequency (approximately 100–40 Hz) with a duration of several seconds. These calls are similar worldwide and are associated with feeding animals; they may be produced as call-counter call between multiple animals (Oleson *et al.*, 2007). Only B calls were analyzed for this report.

Northeast Pacific blue whale B calls
Blue whale B calls (Figure 3) were detected automatically using spectrogram correlation (Mellinger and Clark, 1997). The detection kernel was based on frequency and temporal characteristics measured from 30 calls recorded in the data set, each call separated by at least 24 hours. The kernel was comprised of four segments, three 1.5 s and one 5.5 s long, for a total duration of 10 s. Since blue whale calls change over time (McDonald *et al.*, 2009; Širović, 2016), separate kernels are measured for summer and fall periods. For this recording period only a fall kernel was needed for 2018, while both a summer and fall kernel were needed for 2019. The fall 2018 kernel was defined as sweeping from 45 to 44.5 Hz; 44.5 to 44 Hz, 44 to 43.5 Hz, and 43.5 to 42.7 Hz during these predefined periods. The summer 2019 kernel was defined as sweeping from 45.6 to 45.1 Hz; 45.1 to 44.4 Hz, 44.4 to 43.8 Hz, and 43.8 to 42.8 Hz, while the fall 2019 kernel was defined as sweeping from 44.4 to 44 Hz; 44 to 43.3 Hz, 43.3 to 42.8 Hz, and 42.8 to 42 Hz. The kernel bandwidth was 2 Hz. The total number of detections are reported for this call type.
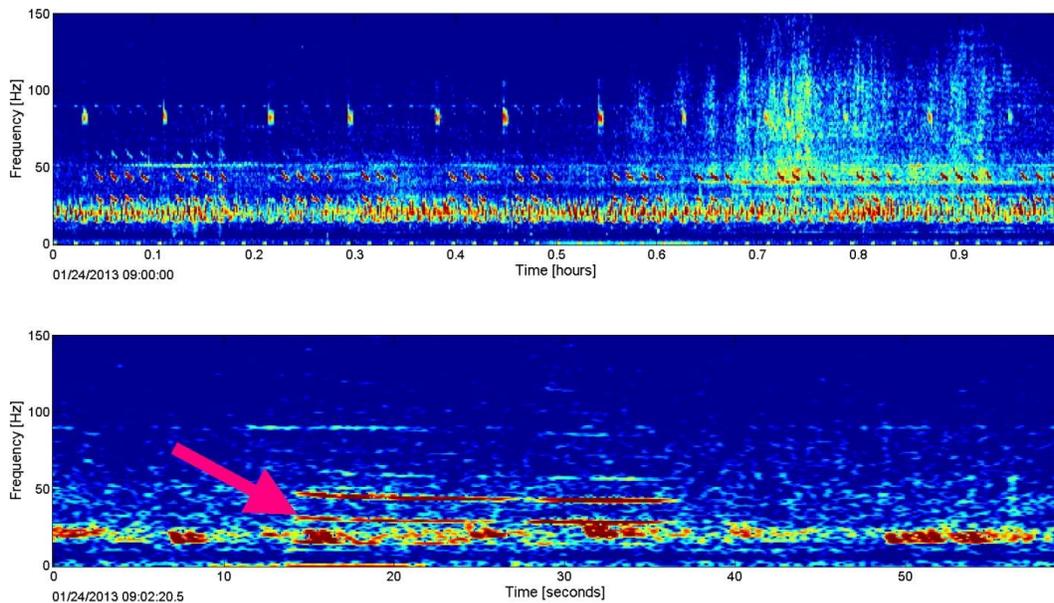
Figure 3. Blue whale B calls (just below 50 Hz) in Long-Term Spectral Average (LTSA; top) and an individual call shown in a spectrogram (bottom) previously recorded at site N.

Fin Whales

Fin whales produce short (~ 1 s duration), low-frequency calls. The most common is a frequency downsweep from 30–15 Hz called the 20 Hz call (Watkins, 1981). 20 Hz calls can occur at regular intervals as song (Thompson *et al.*, 1992), or irregularly as call counter-calls among multiple traveling animals (McDonald *et al.*, 1995).

Fin whale 20 Hz calls

In the SOCAL study area, fin whale 20 Hz calls are so abundant that it is often impossible to distinguish, and therefore detect, individual calls (Watkins *et al.*, 2000; Širović *et al.*, 2015). Therefore, fin whale 20 Hz calls (Figure 4) were detected automatically using an energy detection method (Širović *et al.*, 2015). The method uses a difference in acoustic energy between signal and noise, calculated from a long-term spectral average (LTSA) calculated over 5 s with 1 Hz frequency resolution. The frequency at 22 Hz was used as the signal frequency (Nieukirk *et al.*, 2012; Širović *et al.*, 2015), while noise was calculated as the average energy between 10 and 34 Hz. The resulting ratio is termed 'fin whale acoustic index' and is reported as a daily average. All calculations were performed on a logarithmic scale.
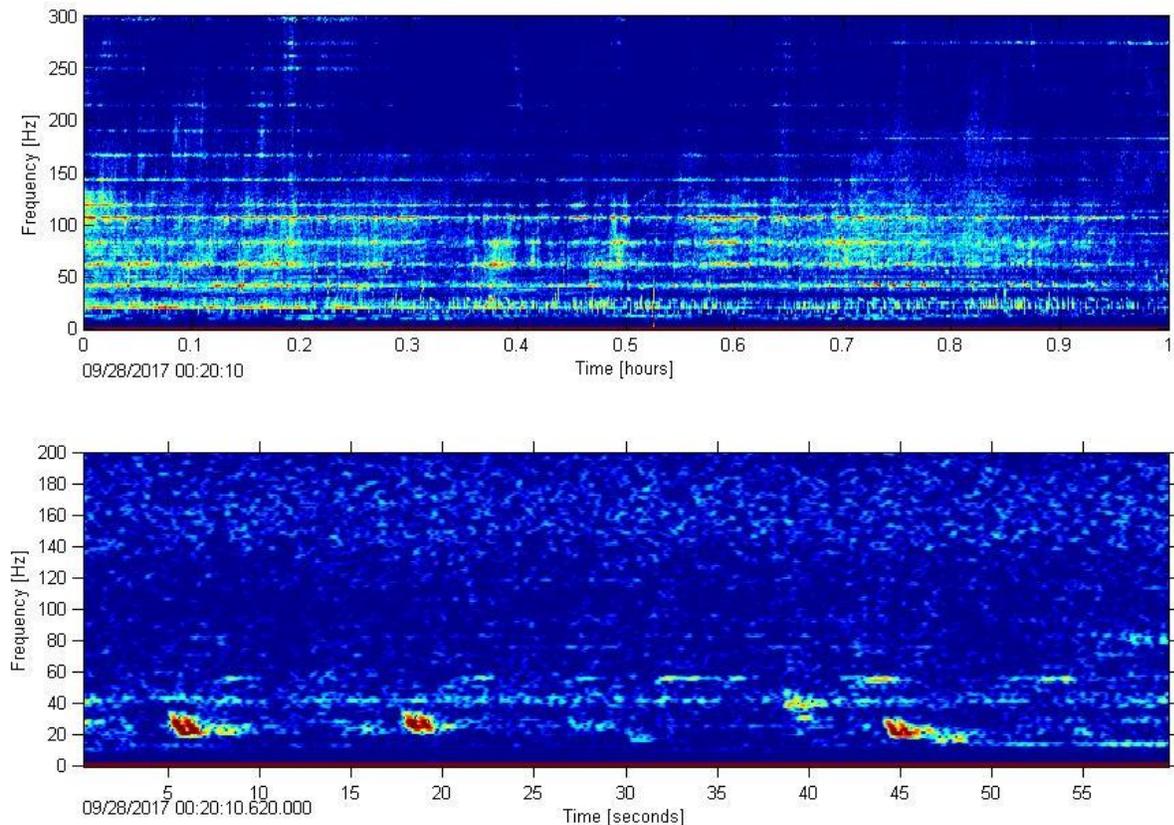
Figure 4. Fin whale 20 Hz calls in an LTSA (top) and spectrogram (bottom) previously recorded at site H.

Beaked Whales

Beaked whales potentially found in the Southern California Bight include Baird's (*Berardius bairdii*), Cuvier's, Blainville's, Stejneger's, Hubbs', Perrin's, and pygmy beaked whales (Jefferson *et al.*, 2008; Jefferson *et al.*, 2015).

Beaked whales can be identified acoustically by their echolocation signals (Baumann-Pickering *et al.*, 2014). These signals are frequency-modulated (FM) upswept pulses, which appear to be species specific and are distinguishable by their spectral and temporal features. Identifiable signals are known for Baird's, Blainville's, Cuvier's, and likely Stejneger's beaked whales (Baumann-Pickering *et al.*, 2013b).

Other beaked whale signals detected in the Southern California Bight include FM pulses known as BW43 and BW70, which may belong to Perrin's and pygmy beaked whales, respectively (Baumann-Pickering *et al.*, 2013a; Baumann-Pickering *et al.*, 2014). A new signal type, BW37V, possibly belonging to Hubbs' beaked whales (Griffiths *et al.*, 2018), was also searched for. This signal type has been referred to as BW35 in previous reports (Rice *et al.*, 2019; Rice *et al.*, 2020). Only Cuvier's, BW37V, and BW43 signals were detected during this recording period. There was no detection effort for Baird's beaked whales. These signals are described below in more detail.

Beaked whale FM pulses were detected with an automated method. This automated effort was for all identifiable signals found in Southern California except for those produced by Baird's beaked whales because they produce a signal with a lower frequency content than is typical of other beaked whales and therefore are not reliably identified by the detector used. After all echolocation signals were identified with a Teager Kaiser energy detector (Soldevilla *et al.*, 2008; Roch *et al.*, 2011b), an expert system discriminated between delphinid clicks and beaked whale FM pulses based on the parameters described below.

A decision about presence or absence of beaked whale signals was based on detections within a 75 s segment. Only segments with more than seven detections were used in further analysis. All echolocation signals with a peak and center frequency below 32 and 25 kHz, respectively, a duration less than 355 µs, and a sweep rate of less than 23 kHz/ms were deleted. If more than 13% of all initially detected echolocation signals remained after applying these criteria, the segment was classified to have beaked whale FM pulses. This threshold was chosen to obtain the best balance between missed and false detections. A third classification step, based on computer assisted manual decisions by a trained analyst, labeled the automatically detected segments to pulse type and rejected false detections (Baumann-Pickering *et al.*, 2013a). The rate of missed segments for this approach is typically 5%. The start and end of each segment containing beaked whale signals was logged and their durations were added to estimate cumulative weekly presence.

Cuvier's Beaked Whales

Cuvier's beaked whale echolocation signals (Figure 5) are well differentiated from other species' acoustic signals as polycyclic, with a characteristic FM pulse upsweep, peak frequency around 40 kHz, and uniform inter-pulse interval of about 0.4–0.5 s (Johnson *et al.*, 2004; Zimmer *et al.*, 2005). An additional feature that helps with the identification of Cuvier's beaked whale FM pulses is that they have characteristic spectral peaks around 17 and 23 kHz.
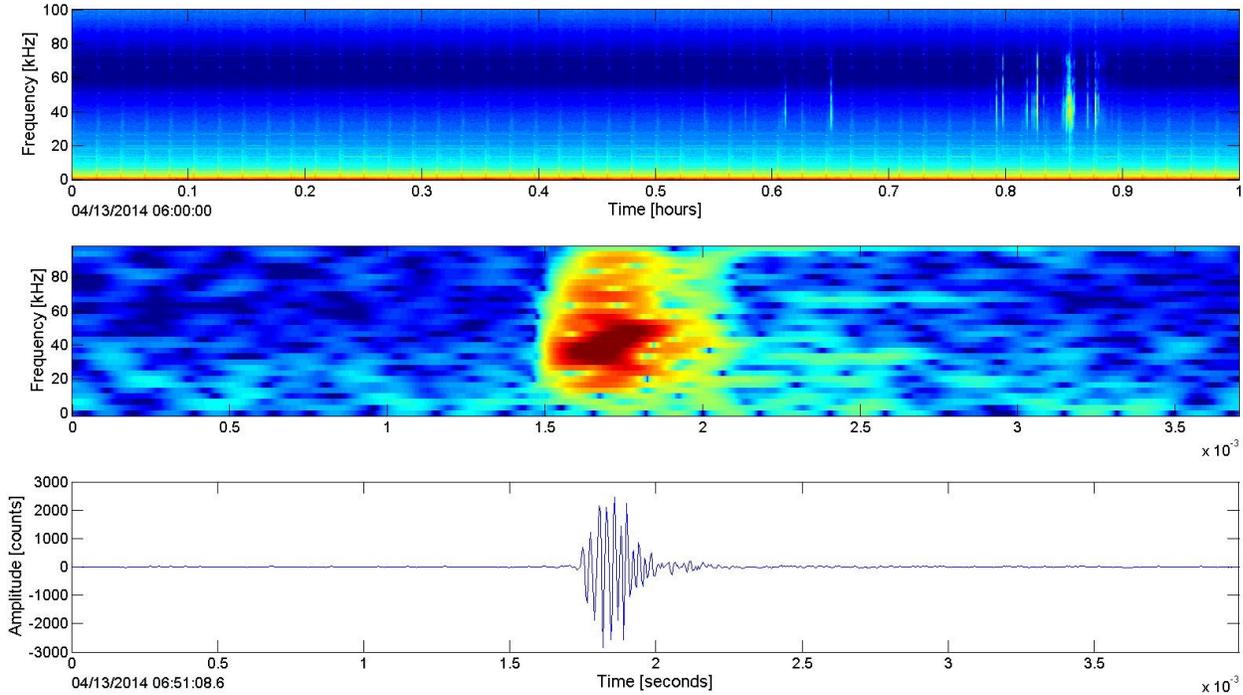
Figure 5. Echolocation sequence of Cuvier's beaked whale in an LTSA (top) and example FM pulse in a spectrogram (middle) and corresponding time series (bottom) previously recorded at site N.

BW37V

The BW37V FM pulse type (Figure 6) has yet to be positively linked to a specific species. These FM pulses are distinct from other beaked whale species' signals in their bimodal frequency distribution, which shows a prominent spectral peak around 35 kHz, a spectral notch at 37 kHz, and an upper peak at 48 kHz (Griffiths *et al.*, 2018). This signal type has a stable inter-pulse interval of approximately 0.13 s. A candidate species for producing this FM pulse type may be Hubbs' beaked whale (Griffiths *et al.*, 2018).
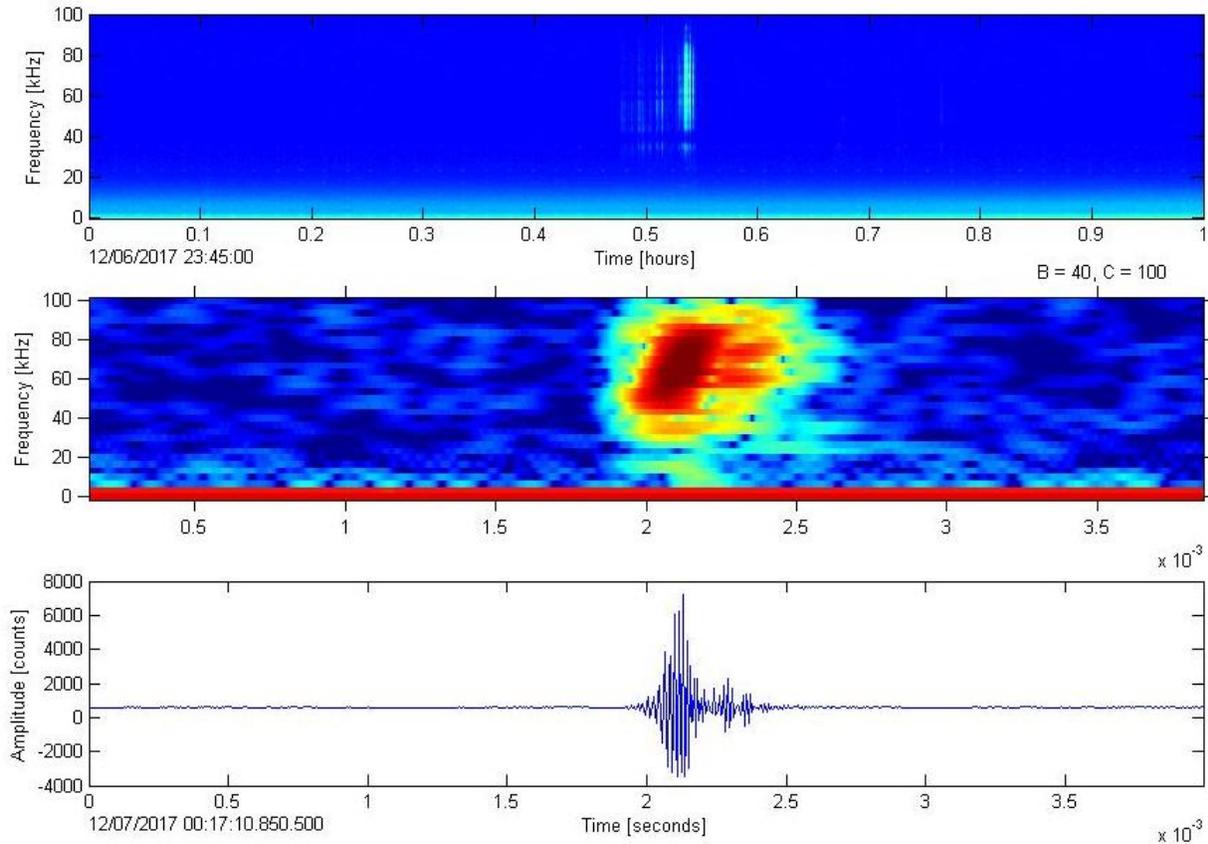
Figure 6. Echolocation sequence of BW37V in an LTSA (top) and example FM pulse in a spectrogram (middle) and corresponding time series (bottom) previously recorded at site E.

BW43

The BW43 FM pulse type (Figure 7) has yet to be positively linked to a specific species. These FM pulses are distinguishable from other species' signals by their peak frequency around 43 kHz and uniform inter-pulse interval around 0.2 s (Baumann-Pickering *et al.*, 2013a). A candidate species for producing this FM pulse type may be Perrin's beaked whale (Baumann-Pickering *et al.*, 2014).
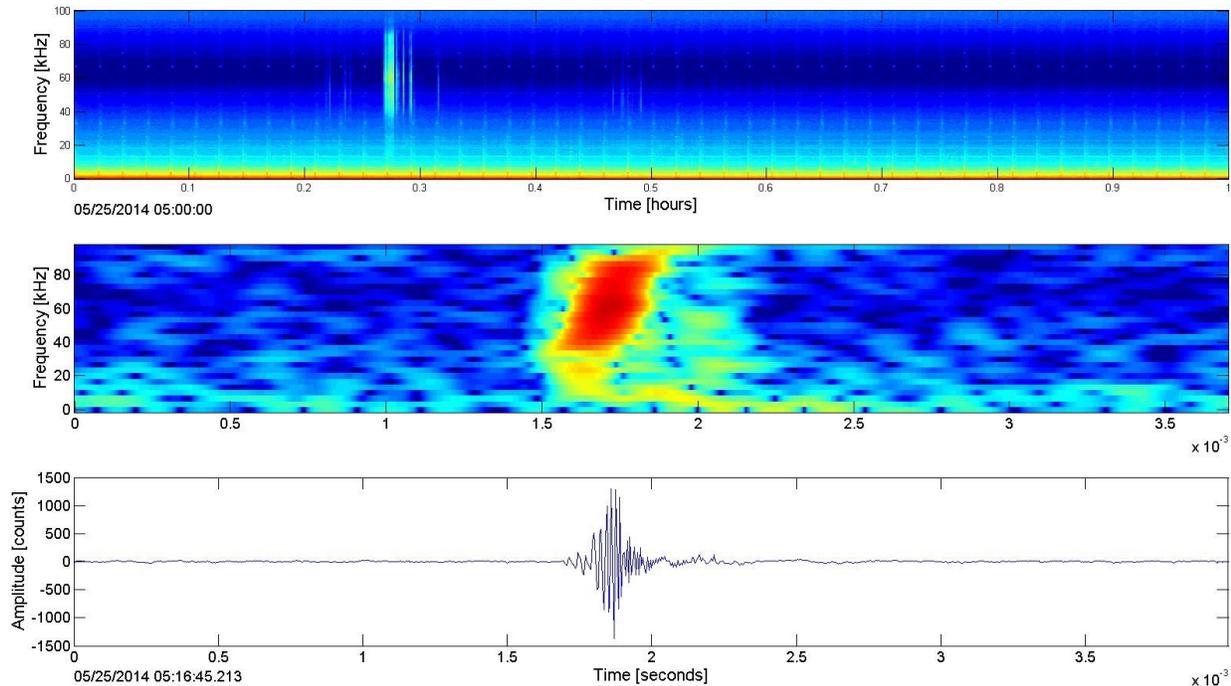
**Figure 7. Echolocation sequence of BW43 in an LTSA (top) and example FM pulse in a spectrogram (middle) and corresponding time series (bottom) previously recorded at site N.**

Anthropogenic Sounds

Two anthropogenic sounds were monitored for this report: Mid-Frequency Active (MFA) sonar and explosions. Both sounds were detected by computer algorithms. For MFA sonar, the start and end of each sound or session was logged and their durations were added to estimate cumulative weekly presence. For explosions, individual explosions were detected and weekly totals are reported.

Mid-Frequency Active Sonar

Sounds from MFA sonar vary in frequency (1–10 kHz) and are composed of pulses of both frequency modulated (FM) sweeps and continuous wave (CW) tones that have durations ranging from less than 1 s to greater than 5 s. Groups of pulses, or pings, constitute a packet while a wave train, or an event, is a group of packets that are separated from other MFA sonar packets by at least 1 h. Packets are transmitted repetitively as wave trains with inter-packet-intervals typically greater than 20 s (Figure 8). In the SOCAL Range Complex, the most common MFA sonar signals are between 2 and 5 kHz and are more generically known as '3.5 kHz' sonar.

In the first stage of MFA sonar detection, we used a modified version of the *Silbido* detection system (Roch *et al.*, 2011a), originally designed for characterizing toothed whale whistles. The

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algorithm identifies peaks in time-frequency distributions (e.g., spectrogram) and determines which peaks should be linked into a graph structure based on heuristic rules that include examining the trajectory of existing peaks, tracking intersections between time-frequency trajectories, and allowing for brief signal dropouts or interfering signals. Detection graphs are then examined to identify individual tonal contours looking at trajectories from both sides of time-frequency intersection points. For MFA sonar detection, parameters were adjusted to detect tonal contours at or above 2 kHz in data decimated to a 10 kHz sample rate with time-frequency peaks with signal to noise ratios of 5 dB or above and contour durations of at least 200 ms with a frequency resolution of 100 Hz. The detector frequently triggered on noise produced by instrument disk writes that occurred at 75 s intervals.

Over periods of several months, these disk write detections dominated the number of detections and could be eliminated using an outlier detection test. Histograms of the detection start times that remained once disk write periods were removed were constructed and outliers were discarded. This removed some valid detections that occurred during disk writes, but as the disk writes and sonar signals are uncorrelated, this is expected to only have a minor impact on analysis. As the detector did not distinguish between sonar and non-anthropogenic tonal signals within the operating band (e.g., humpback whales), human analysts examined detection output and accepted or rejected contiguous sets of detections. Start and end times of these cleaned sonar events were then created to be used in further processing.

In the second stage of MFA sonar detection, these start and end times of MFA events from both methods were then used to read segments of waveforms upon which a 2.4 to 4.5 kHz bandpass filter and a simple time series energy detector was applied to detect and measure various packet parameters after correcting for the instrument calibrated transfer function (Wiggins, 2015). For each packet, maximum peak-to-peak (pp) received level (RL), sound exposure level (SEL), root-mean-square (RMS) RL, date/time of packet occurrence, and packet RMS duration (for RL_{pp} -10dB) were measured and saved.

Various filters were applied to the detections to limit the MFA sonar detection range to ~20 km for off-axis signals from an AN/SQS 53C source, which resulted in a received level detection threshold of 130 dB pp re 1 µPa (Wiggins, 2015). Instrument maximum received level was ~165 dB pp re 1 µPa, above which waveform clipping occurred. For deployment 67 at site H, waveform clipping occurred above 160 dB pp re 1 µPa. Packets were grouped into wave trains separated by more than 1 h. Packet received levels were plotted along with the number of packets and cumulative SEL (CSEL) in each wave train over the study period. Wave train duration and total packet duration were also calculated. Wave train duration is the difference between the first and last packet detections in an event. The total packet duration of a wave train is the sum of the individual packet (i.e., group of pings) durations, which is measured as the period of the waveform that is 0 to 10 dB less than the maximum peak-to-peak received level of the ping group.
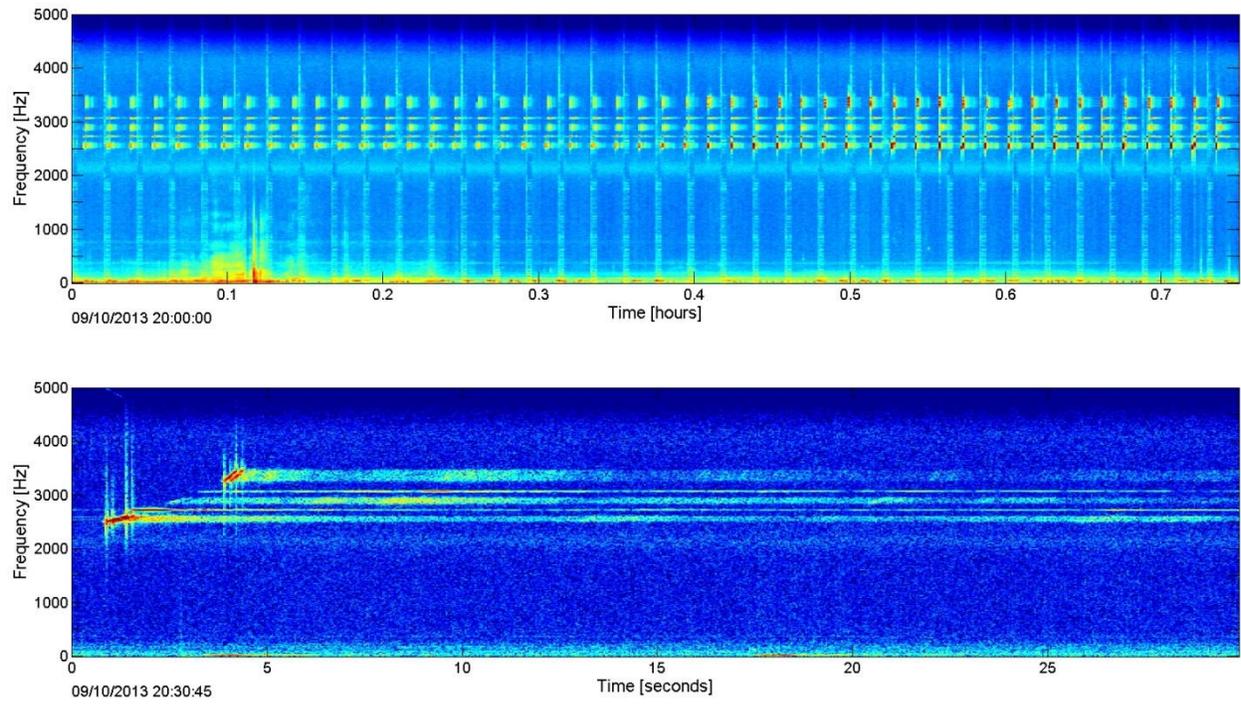
Figure 8. MFA sonar previously recorded at site H and shown as a wave train event in a 45-minute LTSA (top) and as a single packet with multiple pulses in a 30 second spectrogram (bottom).

Explosions

Effort was directed toward finding explosive sounds in the recordings including military explosions, shots from geophysical exploration, and seal bombs used by the fishing industry. An explosion appears as a vertical spike in the LTSA that, when expanded in the spectrogram, has a sharp onset with a reverberant decay (Figure 9). Explosions were detected automatically for all deployments using a matched filter detector on data decimated to a 10 kHz sampling rate.

The explosion detector starts by filtering the time series with a 10th order Butterworth bandpass filter between 200 and 2,000 Hz. Next, cross-correlation was computed between 75 s of the envelope (i.e., Hilbert transform low pass filter) of the filtered time series and the envelope of a filtered example explosion (0.7 s, Hann windowed) as the matched filter signal. The cross correlation was squared to 'sharpen' peaks of explosion detections. A floating threshold was calculated by taking the median cross correlation value over the current 75 s of data to account for detecting explosions within noise, such as shipping. A cross-correlation threshold of above the median was set. When the correlation coefficient reached above the threshold, the time series was inspected more closely.

Consecutive explosions were required to have a minimum time distance of 0.5 s to be detected. A 300-point (0.03 s) floating average energy across the detection was computed. The start and end of the detection above threshold was determined when the energy rose by more than 2 dB above the median energy across the detection. Peak-to-peak and RMS RL were computed over the potential detection period and a time series of the length of the explosion template before and after the detection.

The potential detection was classified as false and deleted if: 1) the dB difference pp and RMS between signal and time AFTER the detection was less than 4 dB or 1.5 dB, respectively; 2) the dB difference pp and RMS between signal and time BEFORE signal was less than 3 dB or 1 dB, respectively; and 3) the detection was shorter than 0.03 or longer than 0.55 seconds. The thresholds were evaluated based on the distribution of histograms of manually verified true and false detections. A trained analyst subsequently confirmed or rejected the remaining detections for accuracy. Explosions have energy as low as 10 Hz and often extend up to 2,000 Hz or higher, lasting for a few seconds including the reverberation.
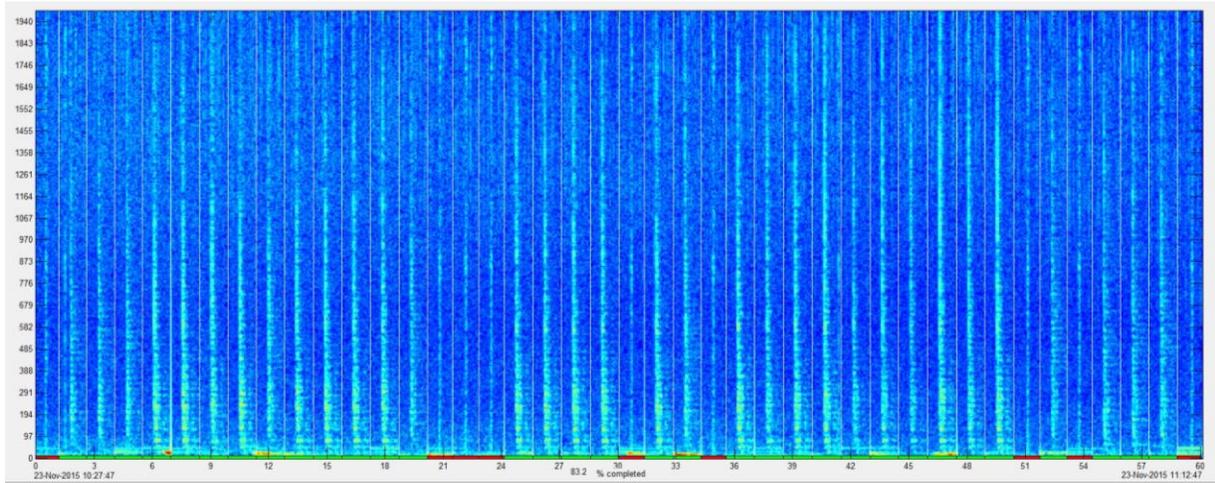
Figure 9. Explosions previously detected at site H in the analyst verification stage where events are concatenated into a single spectrogram.
Green along the bottom indicates true and red indicates false detections.

Results

The results of acoustic data analysis at sites E, H, N, and U from November 2018 to May 2020 are summarized below.

We describe the low-frequency ambient soundscape and the seasonal occurrence and relative abundance of marine mammal acoustic signals and anthropogenic sounds of interest.

<u>Low-frequency Ambient Soundscape</u>

- The underwater ambient soundscape at all sites had spectral shapes with higher levels at low frequencies (Figure 10), owing to the dominance of ship noise at frequencies below 100 Hz and local wind and waves above 100 Hz (Hildebrand, 2009).
- Site H generally had lower spectrum levels, compared to the other sites, below 100 Hz (Figure 10). This is expected owing to the fact that site H is away from shipping routes and is located in a basin shielded from the deep ocean (McDonald *et al.*, 2008). However, spectrum levels below 15 Hz during spring months appear to have been influenced by strumming related to tidal flow (Figure 10).
- Sites E, N, and U generally had spectrum levels around 3 dB higher than site H at 10–100 Hz, owing to greater exposure to open-ocean shipping noise (Figure 10).
- Additionally, site U showed increased spectrum levels from ~30–80 Hz due to the presence of ships near the recording location (Figure 10).
- Prominent peaks in sound spectrum levels observed in the frequency band 15–30 Hz during fall and winter at all sites are related to the seasonally increased presence of fin whale calls. The highest levels during this period occurred at site E (Figure 10).
- Spectral peaks around 45 Hz from July to December at all sites are related to blue whale B calls. The highest levels during this period occurred at site U (Figure 10).
- Increased spectrum levels from ~100–200 Hz from March through May 2020 at site H are related to the presence of a fish chorus (Figure 10).
- Noisy peaks in the spectrum during December at site H are a result of the presence of a ship over the course of a few days (Figure 10).
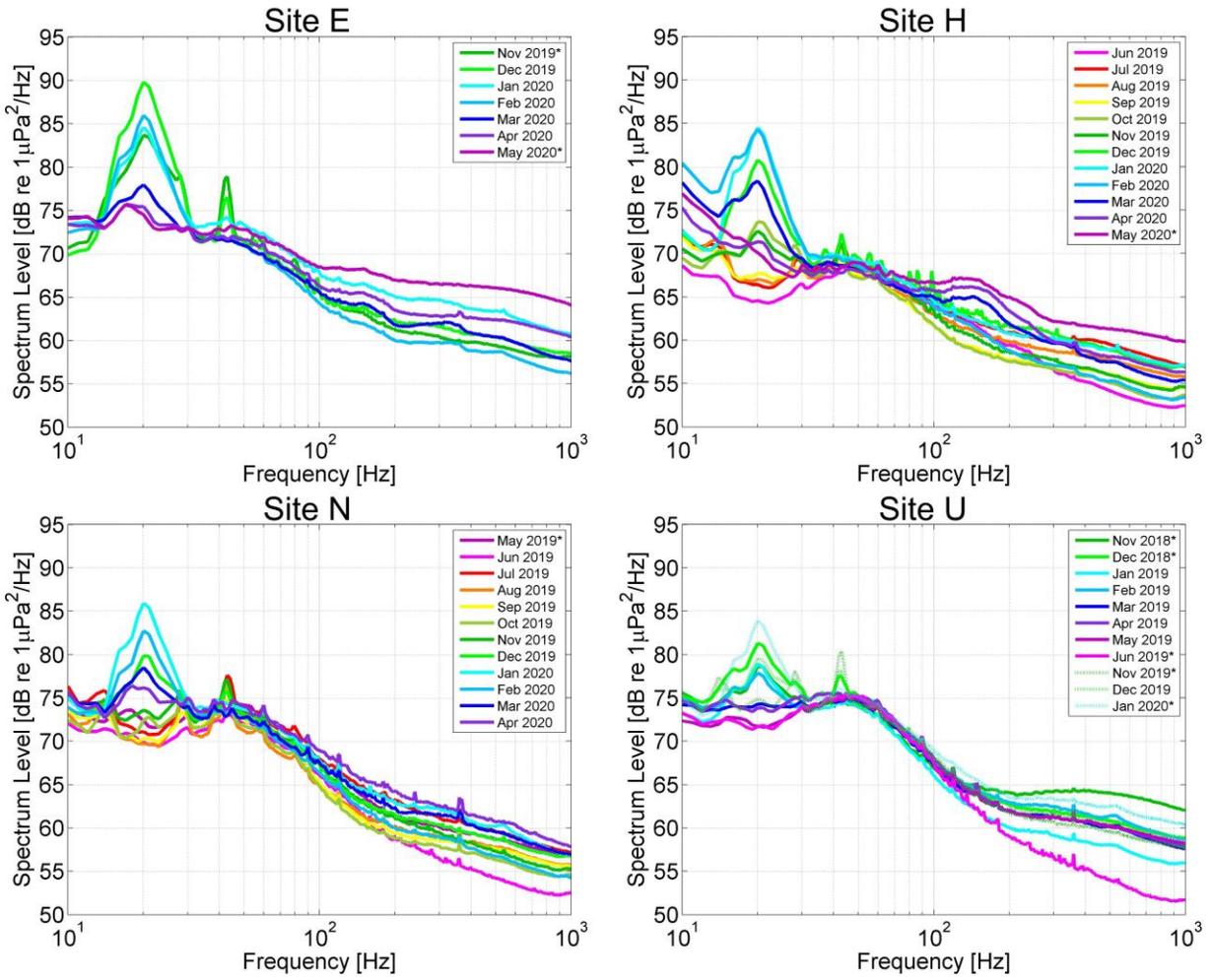
Figure 10. Monthly averages of sound spectrum levels at sites E, H, N, and U.
Legend gives color-coding by month. * denotes months with partial (< 90%) effort.

Mysticetes

Blue and fin whales were detected using automated methods between November 2018 and May 2020. More details of each species' presence are given below.

Blue Whales

Blue whale B calls were detected at all sites and were most prevalent during the summer and fall.

- Northeast (NE) Pacific blue whale B calls were typically detected from summer through early winter. At sites H and N, where recordings occurred throughout these seasons, detections peaked in August and again in October (Figure 11).
- There was no discernable diel pattern for the NE Pacific B calls (Figure 12).
- There were fewer detections at site H than during previous monitoring periods, but the fall peak in NE Pacific B calls is consistent with earlier recordings at these sites (Kerosky *et al.*, 2013; Debich *et al.*, 2015a; Debich *et al.*, 2015b; Širović *et al.*, 2016; Rice *et al.*, 2017; Rice *et al.*, 2018; Rice *et al.*, 2019; Rice *et al.*, 2020)
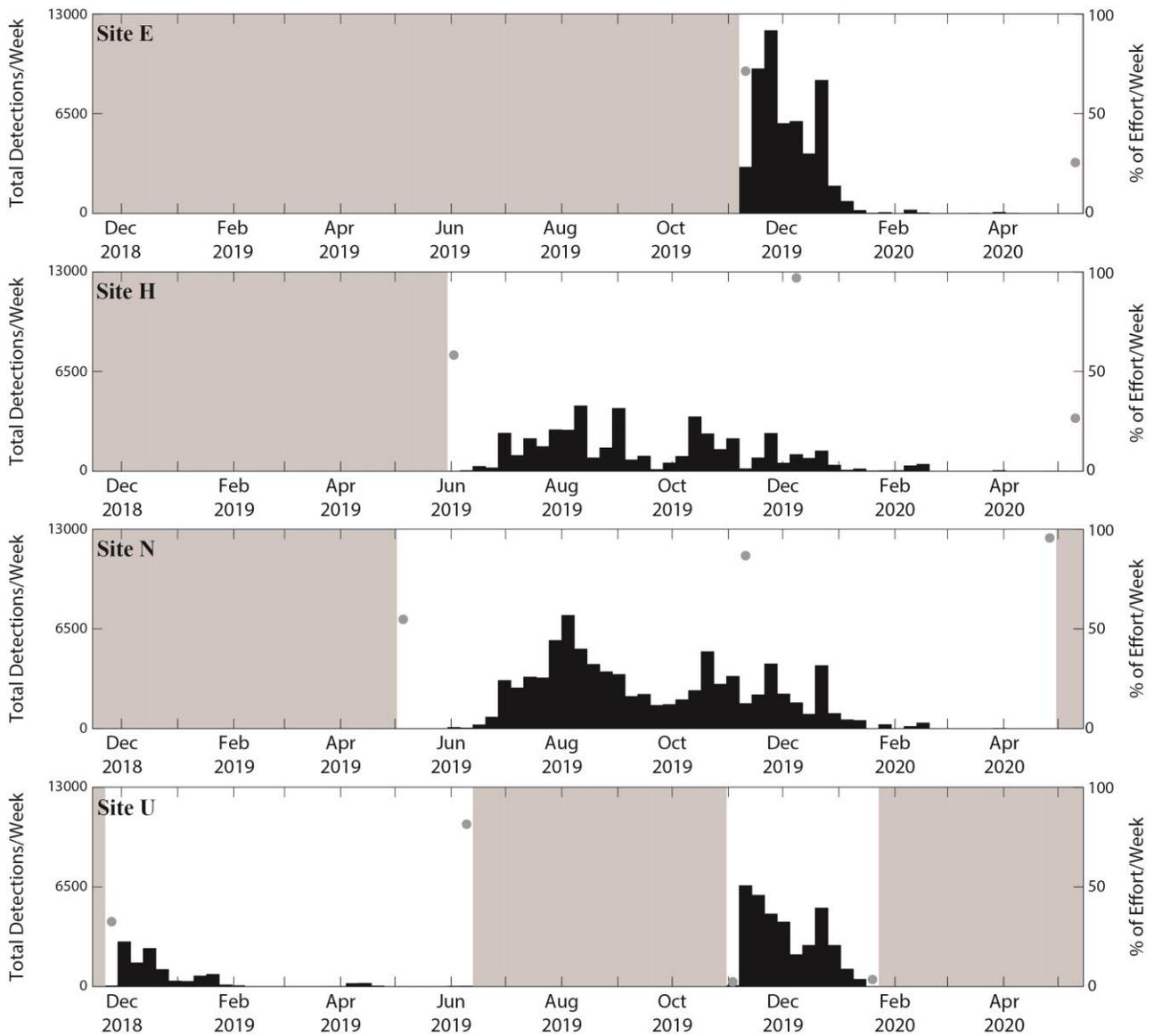
Figure 11. Weekly presence of NE Pacific blue whale B calls between November 2018 and May 2020 at sites E, H, N, and U.
Gray dots represent percent of effort per week in weeks with less than 100% recording effort, and gray shading represents periods with no recording effort. Where gray dots or shading are absent, full recording effort occurred for the entire week. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).
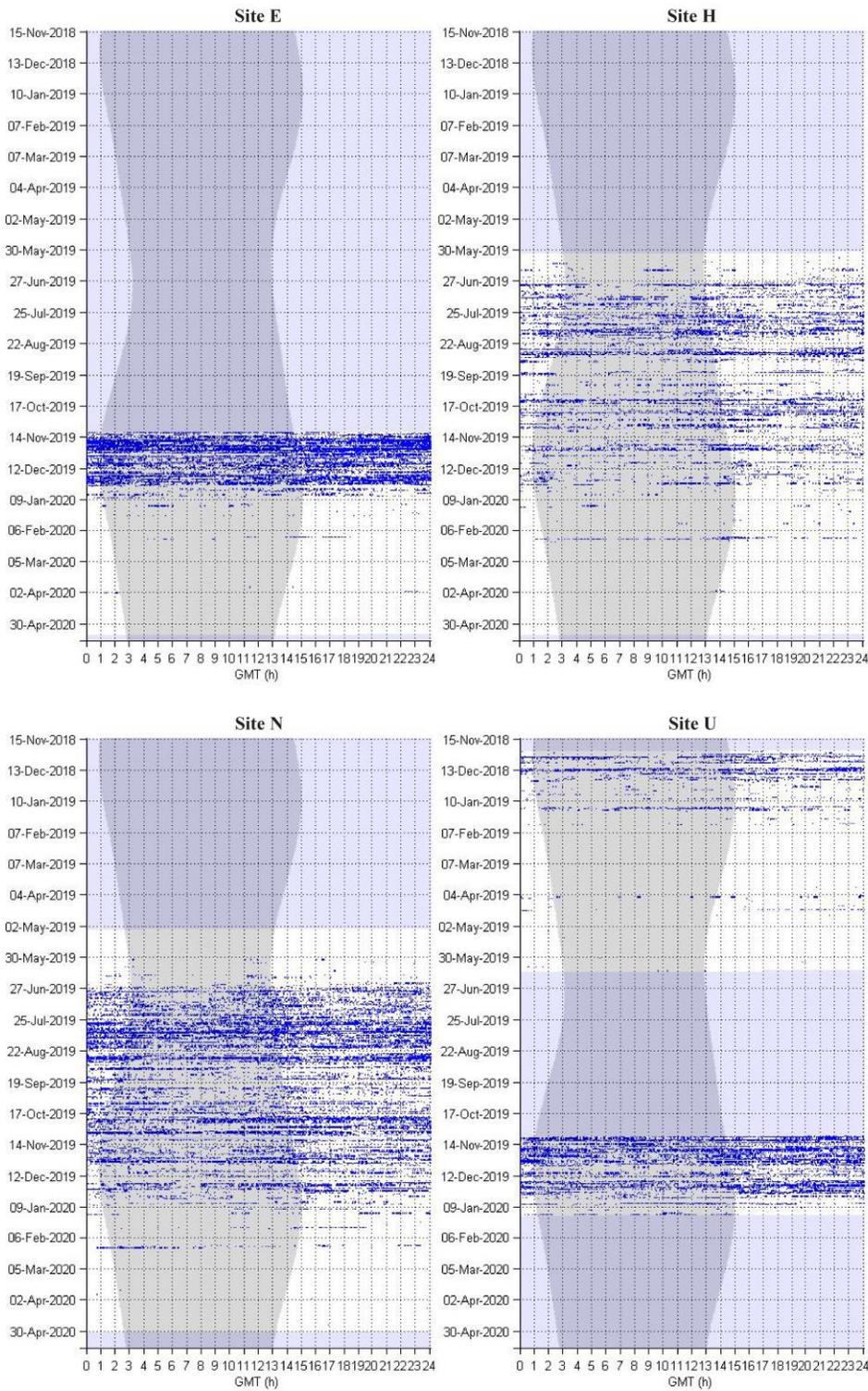
Figure 12. Diel presence of NE Pacific blue whale B calls, indicated by blue dots, in one-minute bins at sites E, H, N, and U.
Gray vertical shading denotes nighttime and light purple horizontal shading denotes absence of acoustic data. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).

Fin Whales

Fin whales were detected throughout the recordings at all sites.

- The highest values of the fin whale acoustic index (representative of 20 Hz calls) were measured at site E. Sites H and N had comparable acoustic index values, while site U had the lowest values overall (Figure 13).
- A peak in the fin whale acoustic index occurred from October to February at all sites (Figure 13).
- The winter peak in the fin whale acoustic index is consistent with earlier recordings, although the index values at site E are higher than during previous monitoring periods (Debich *et al.*, 2015a; Debich *et al.*, 2015b; Širović *et al.*, 2016; Rice *et al.*, 2017; Rice *et al.*, 2018; Rice *et al.*, 2019; Rice *et al.*, 2020)
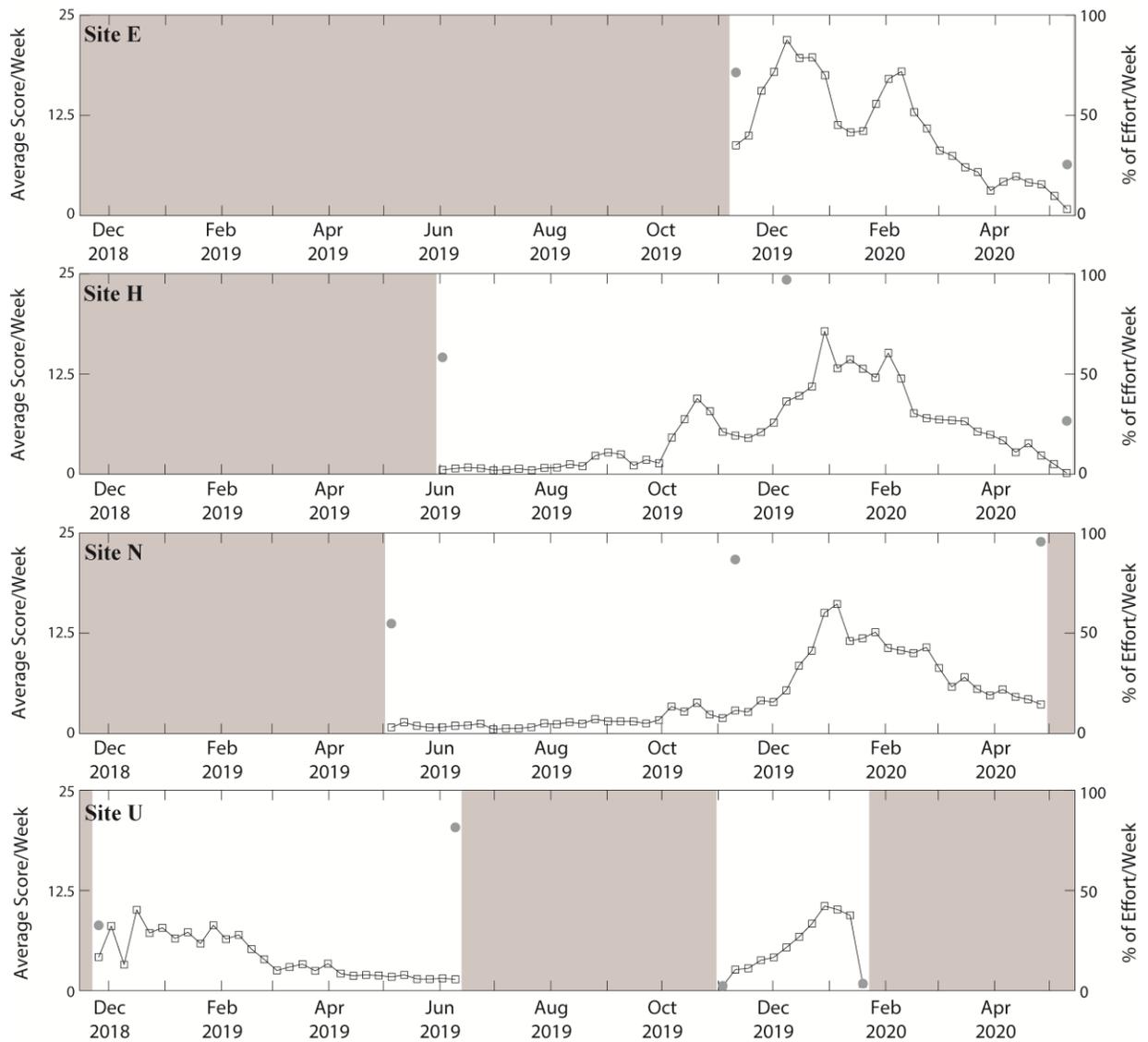
Figure 13. Weekly value of fin whale acoustic index (proxy for 20 Hz calls) between November 2018 and May 2020 at sites E, H, N, and U.
Gray dots represent percent of effort per week in weeks with less than 100% recording effort, and gray shading represents periods with no recording effort. Where gray dots or shading are absent, full recording effort occurred for the entire week. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).

Beaked Whales

Cuvier's beaked whales were detected throughout the monitoring period. The FM pulse type, BW37V, possibly produced by Hubbs' beaked whales (Griffiths *et al.*, 2018), was detected only during January at site E, during December and January at site H, and on only one day in March at site U. The FM pulse type, BW43, possibly produced by Perrin's beaked whales (Baumann-Pickering *et al.*, 2014), was detected in low numbers intermittently at site N and throughout the monitoring period at site U. No other beaked whale species were detected during this recording period. More details of each species' presence at the four sites are given below.

Cuvier's Beaked Whales

Cuvier's beaked whale was the most commonly detected beaked whale.

- Cuvier's beaked whale FM pulses were detected most at sites E and H and least at site U (Figure 14).
- Detections occurred consistently throughout the recording period at sites E and H, with slight increases in December 2019 at site E and during August 2019 and from February to May 2020 at site H. At sites N and U, detections were low throughout the recording period (Figure 14).
- There was no discernable diel pattern for Cuvier's beaked whale detections (Figure 15).
- There were more detections at site H than during the previous monitoring periods, but in general, the results were consistent with previous reports (Kerosky *et al.*, 2013; Debich *et al.*, 2015a; Debich *et al.*, 2015b; Širović *et al.*, 2016; Rice *et al.*, 2017; Rice *et al.*, 2018; Rice *et al.*, 2019; Rice *et al.*, 2020).
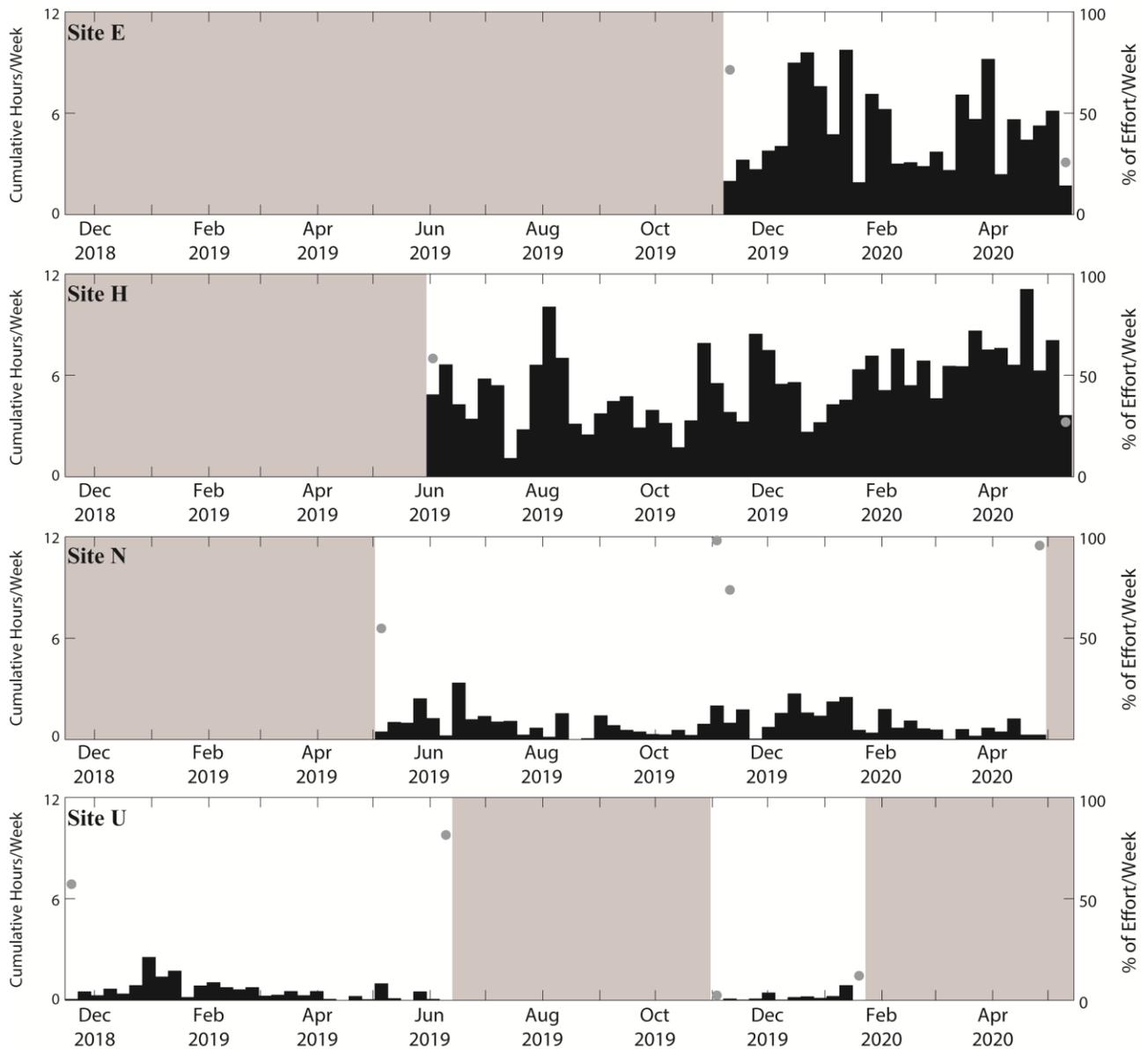
Figure 14. Weekly presence of Cuvier's beaked whale FM pulses between November 2018 and May 2020 at sites E, H, N, and U.
Gray dots represent percent of effort per week in weeks with less than 100% recording effort, and gray shading represents periods with no recording effort. Where gray dots or shading are absent, full recording effort occurred for the entire week. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).
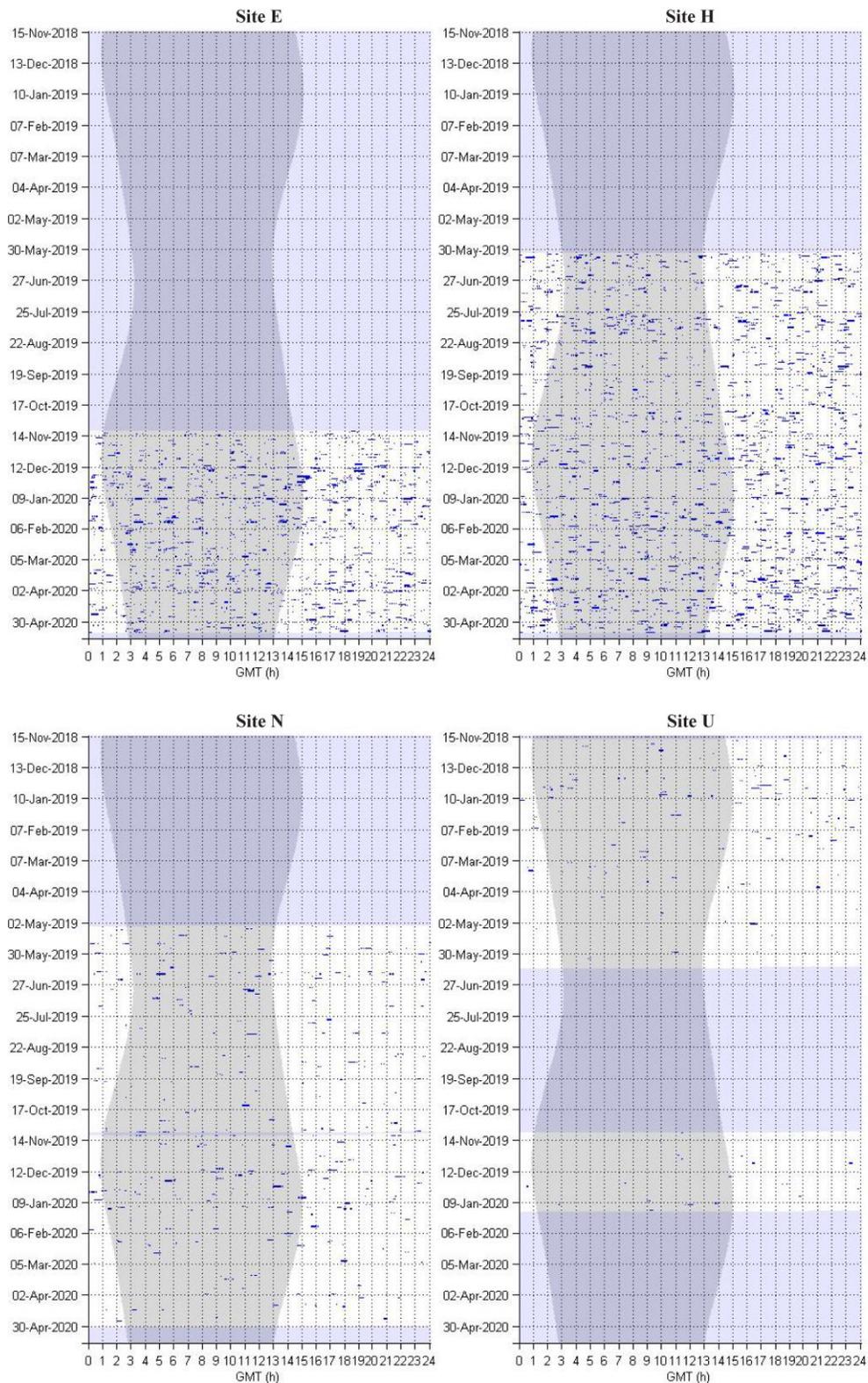
Figure 15. Cuvier's beaked whale FM pulses, indicated by blue dots, in one-minute bins at sites E, H, N, and U.
Gray vertical shading denotes nighttime and light purple horizontal shading denotes absence of acoustic data. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).

BW37V

BW37V FM pulses were detected in low numbers at sites E, H, and N.

- BW37V FM pulses were only detected at site E in January, only during December and January at site H, and only on March 24 at site N. There were no detections at site U (Figure 16).
- All BW37V detections occurred at night, but there were not enough detections to determine if there was a diel pattern (Figure 17).
- There were more detections at site H than during the previous monitoring periods and this was the first time this signal type was detected at site N (Rice *et al.*, 2019; Rice *et al.*, 2020).
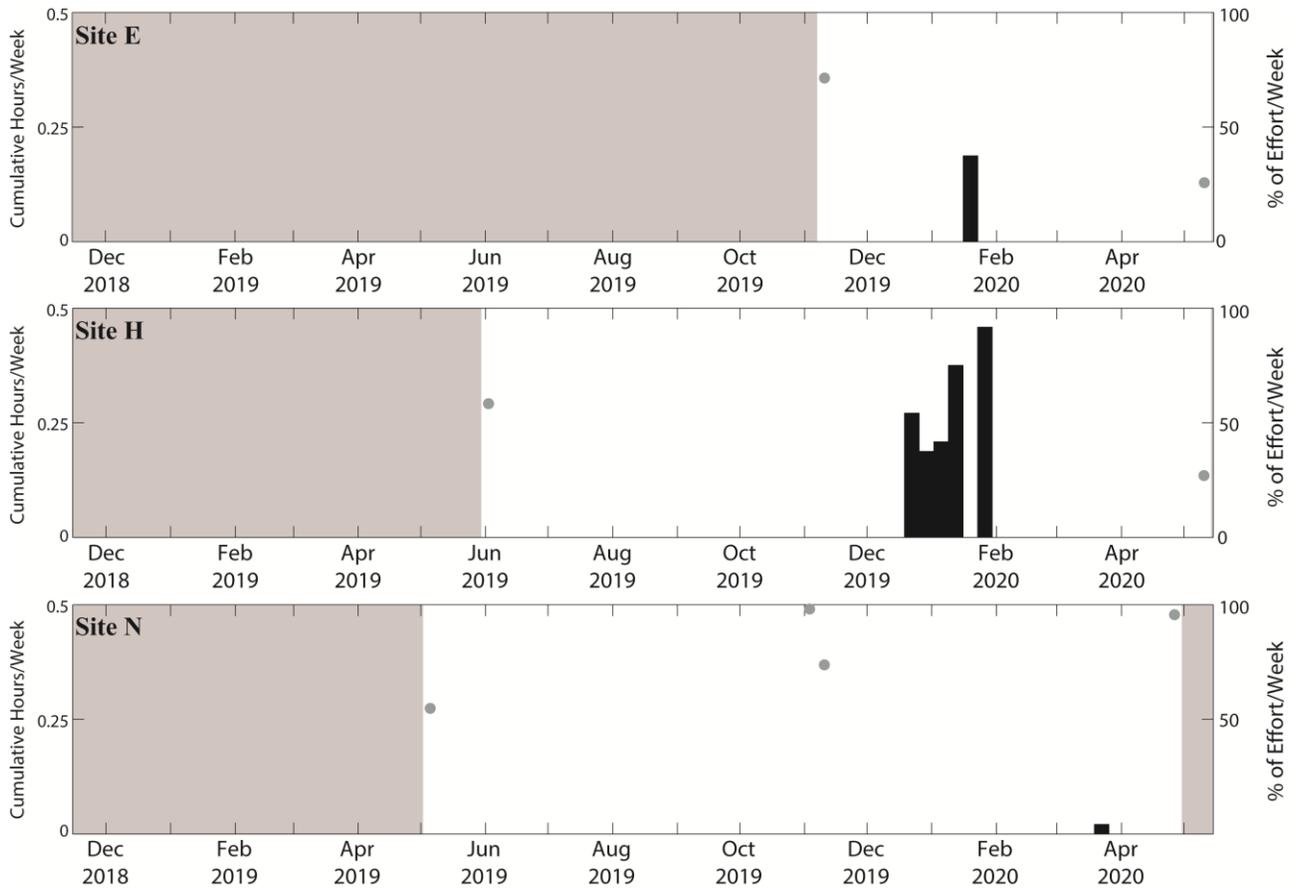
Figure 16. Weekly presence of BW37V FM pulses between November 2018 and May 2020 at sites E, H, and N.
Gray dots represent percent of effort per week in weeks with less than 100% recording effort, and gray shading represents periods with no recording effort. Where gray dots or shading are absent, full recording effort occurred for the entire week. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).
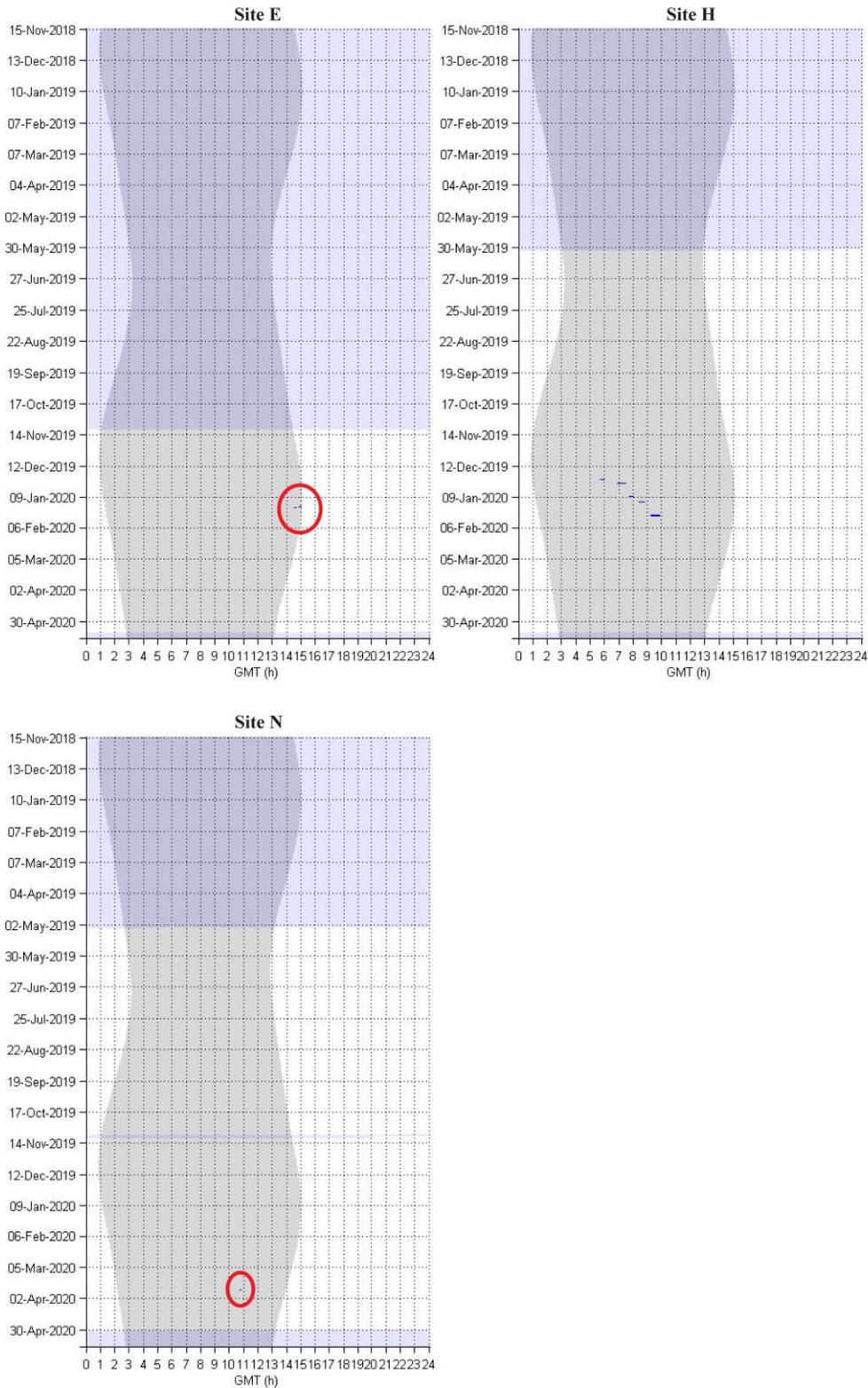
Figure 17. BW37V FM pulses, indicated by blue dots, in ten-minute bins at sites E, H, and N. Gray vertical shading denotes nighttime and light purple horizontal shading denotes absence of acoustic data. Red circle highlights only times where detections occurred at sites E and N. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).

BW43

BW43 FM pulses were detected intermittently at site N and throughout the recording period at site U.

- BW43 FM pulses were detected at sites N and U. At site N, detections occurred in late spring 2019 and again December 2019 to March 2020. At site U detections occurred throughout the monitoring period (Figure 18). There were no detections at sites E or H.
- There was no discernable diel pattern for BW43 detections (Figure 19).
- The overall number of detections is consistent with previous reports (Kerosky *et al.*, 2013; Debich *et al.*, 2015a; Debich *et al.*, 2015b; Rice *et al.*, 2018; Rice *et al.*, 2019; Rice *et al.*, 2020). However, there were no detections at site H, as there were during some previous monitoring periods (Širović *et al.*, 2016; Rice *et al.*, 2017), or at site E (Rice *et al.*, 2020).
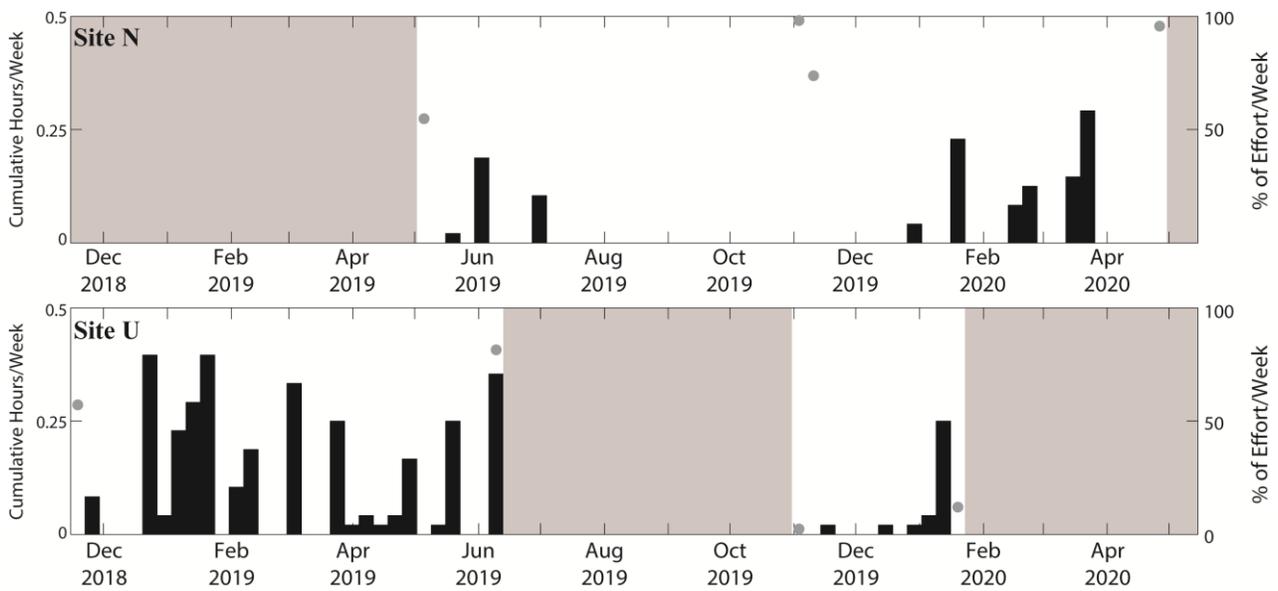
Figure 18. Weekly presence of BW43 FM pulses between November 2018 and May 2020 at sites N and U.
Gray dots represent percent of effort per week in weeks with less than 100% recording effort, and gray shading represents periods with no recording effort. Where gray dots or shading are absent, full recording effort occurred for the entire week. Analysis of data from December 2018 to May 2019 for site N was completed during a previous monitoring period (Rice *et al.*, 2020).
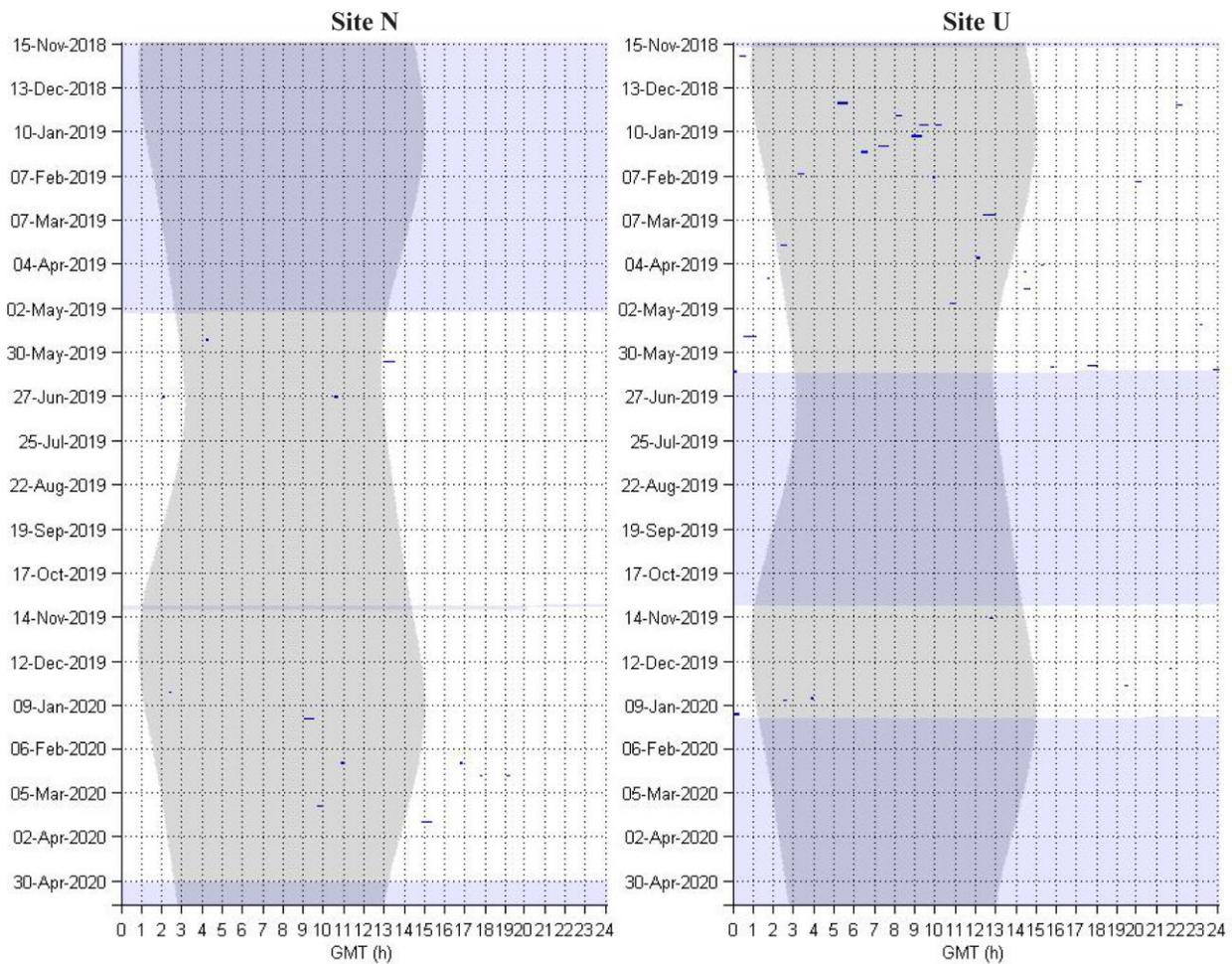
Figure 19. BW43 FM pulses, indicated by blue dots, in ten-minute bins at sites N and U. Gray vertical shading denotes nighttime and light purple horizontal shading denotes absence of acoustic data. Analysis of data from December 2018 to May 2019 for site N was completed during a previous monitoring period (Rice *et al.*, 2020).

Anthropogenic Sounds

Anthropogenic sounds from MFA sonar (2.4–4.5 kHz) and explosions, between November 2018 and May 2020, were analyzed for this report.

Mid-Frequency Active Sonar

MFA sonar was a commonly detected anthropogenic sound. The dates of major naval training exercises that were conducted in the SOCAL region between November 2018 and May 2020 are listed in Table 5 (C. Johnson, personal communication). Sonar usage outside of designated major exercises is likely attributable to unit-level training. The automatically detected packets and wave trains show the highest level of MFA sonar activity (> 130 dB_{pp} re 1 µPa) when normalized per year at sites H, N and U, while site E showed the lowest levels (Table 6).

- MFA sonar was detected at all four sites. Detections occurred throughout the recording period at all sites, with peaks in February, August, and November 2019 (Figure 20).
- While there was no clear diel pattern overall, sites H and N generally showed increased MFA bouts during the daytime (Figure 21).
- At site E, a total of 673 packets were detected, with a maximum received level of 165 dB_{pp} re 1 µPa (Figure 22). Total wave train duration was 11.5 h (Figure 25), but the total packet duration was only about 0.6 h (2,275.3 s; Table 6; Figure 26).
- At site H, a total of 4,482 packets were detected, with a maximum received level of 165 dB_{pp} re 1 µPa (Figure 22). Total wave train duration was 95.4 h (Figure 25), but the total packet duration was only about 3 h (10,647.1 s; Table 6; Figure 26).
- At site N, a total of 6,685 packets were detected, with a maximum received level of 164 dB_{pp} re 1 µPa (Figure 22). Total wave train duration was 97.9 h (Figure 25), but the total packet duration was only 4.2 h (15,204.3 s; Table 6; Figure 26).
- At site U, a total of 3,924 packets were detected, with a maximum received level of 165 dB_{pp} re 1 µPa (Figure 22). Total wave train duration was 87.8 h (Figure 25), but the total packet duration was only about 2.4 h (8,518.9 s; Table 6; Figure 26).
- Maximum cumulative sound exposure levels of wave trains occurred during November 2019 at sites E, N, and U and were greater than 170 dB re 1 $µPa^2$-s. At site H, maximum levels were at 170 dB re 1 $µPa^2$-s and occurred in July 2019 (Figure 23).
- Most MFA sonar wave trains occurred at sites N and U in November 2019 during a major training exercise, although there was also a high number of wave trains at site H during August 2019 when there was no training exercise taking place (Figure 24).

Table 5. Major naval training exercises in the SOCAL region between November 2018 and May 2020.

Exercise Dates
February 13 to March 1, 2019
November 5 to 22, 2019
November 18 to December 18, 2019
May 6 to June 2, 2020
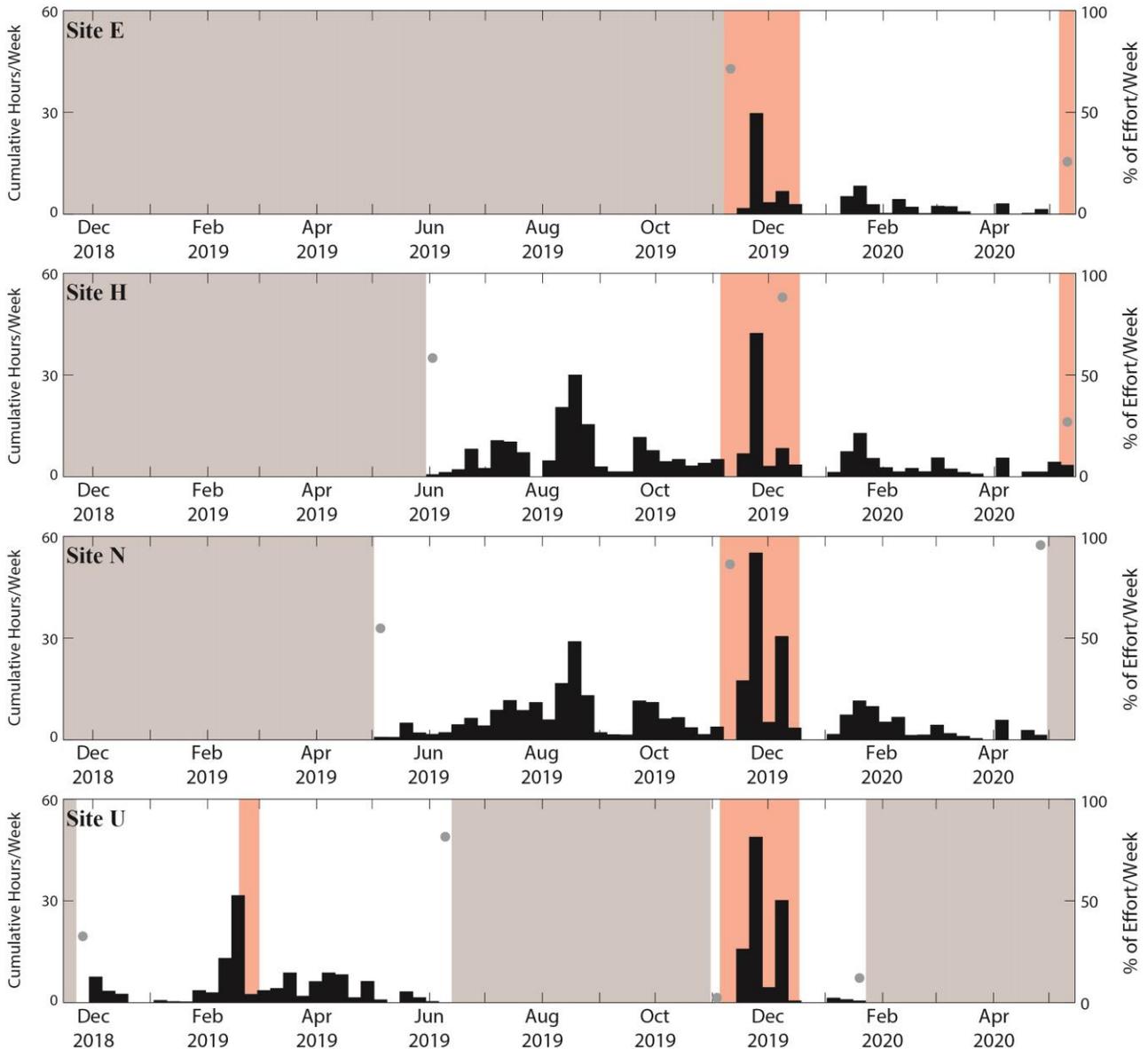
Figure 20. Major naval training events (shaded light red, from Table 5) overlaid on weekly presence of MFA sonar < 5kHz from the *Silbido* detector between November 2018 and May 2020 at sites E, H, N, and U.
Gray dots represent percent of effort per week in weeks with less than 100% recording effort, and gray shading represents periods with no recording effort. Where gray dots or shading are absent, full recording effort occurred for the entire week. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).
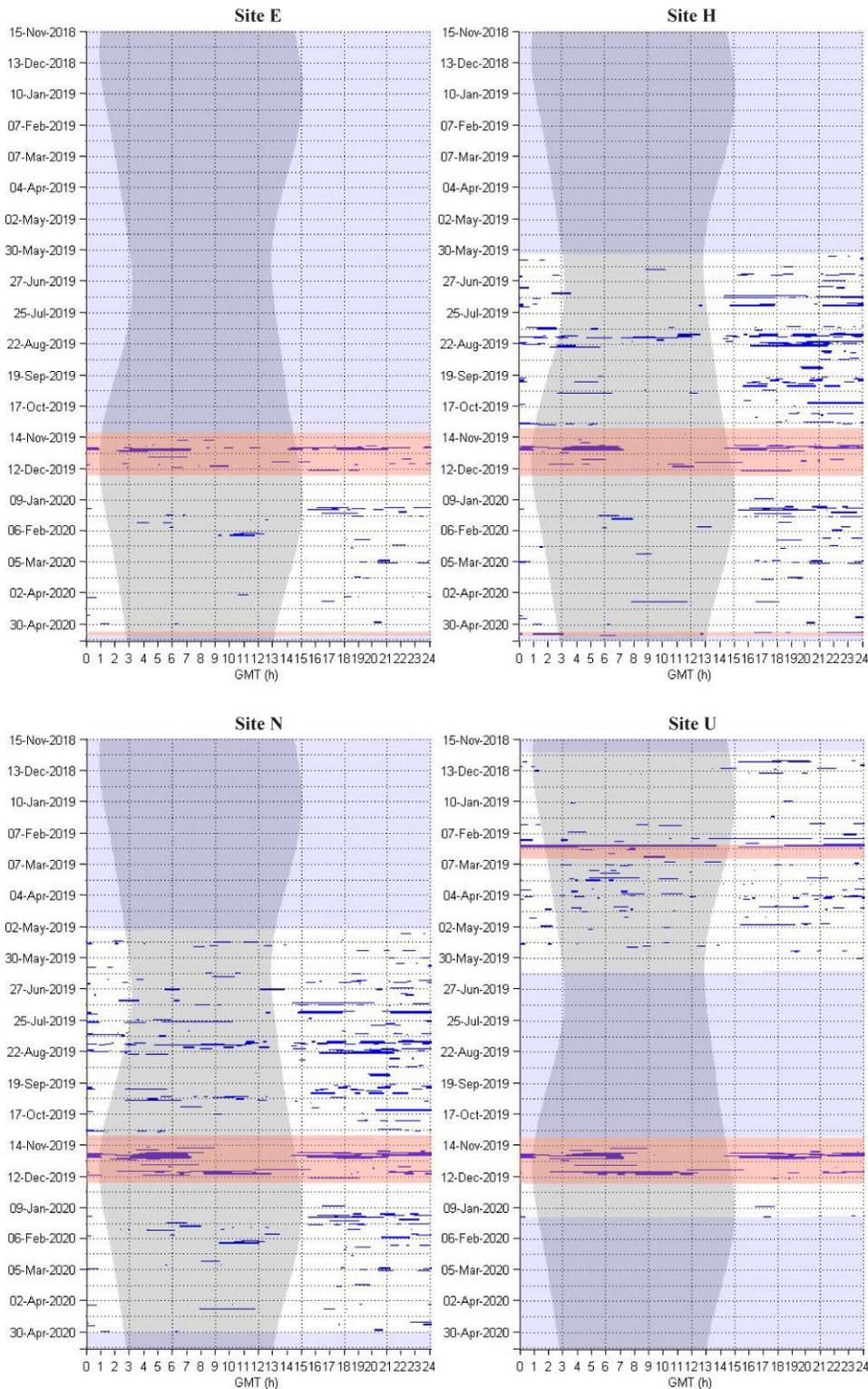
Figure 21. Major naval training events (shaded light red, from Table 5) overlaid on MFA sonar < 5 kHz signals from the *Silbido* detector, indicated by blue dots, in one-hour bins at sites E, H, N, and U. Gray vertical shading denotes nighttime and light purple horizontal shading denotes absence of acoustic data. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).

Table 6. MFA sonar automated detector results for sites E, H, N, and U.
Total effort at each site in days (years), number of and extrapolated yearly estimates of wave trains and packets at each site (> 130 dB$_{pp}$ re 1 μPa), total wave train duration, and total packet duration.

Site	Period Analyzed Days (Years)	Number of Wave Trains	Wave Trains per year	Number of Packets	Packets per year	Total Wave Train Duration (h)	Total Packet Duration (s)
E	182 (0.5)	7	14	673	1,346	11.5	2,275.3
H	342 (0.94)	63	67	4,482	4,768	95.4	10,647.1
N	360 (0.99)	48	48	6,685	6,753	97.9	15,204.3
U	267 (0.73)	38	52	3,924	5,375	87.8	8,518.9
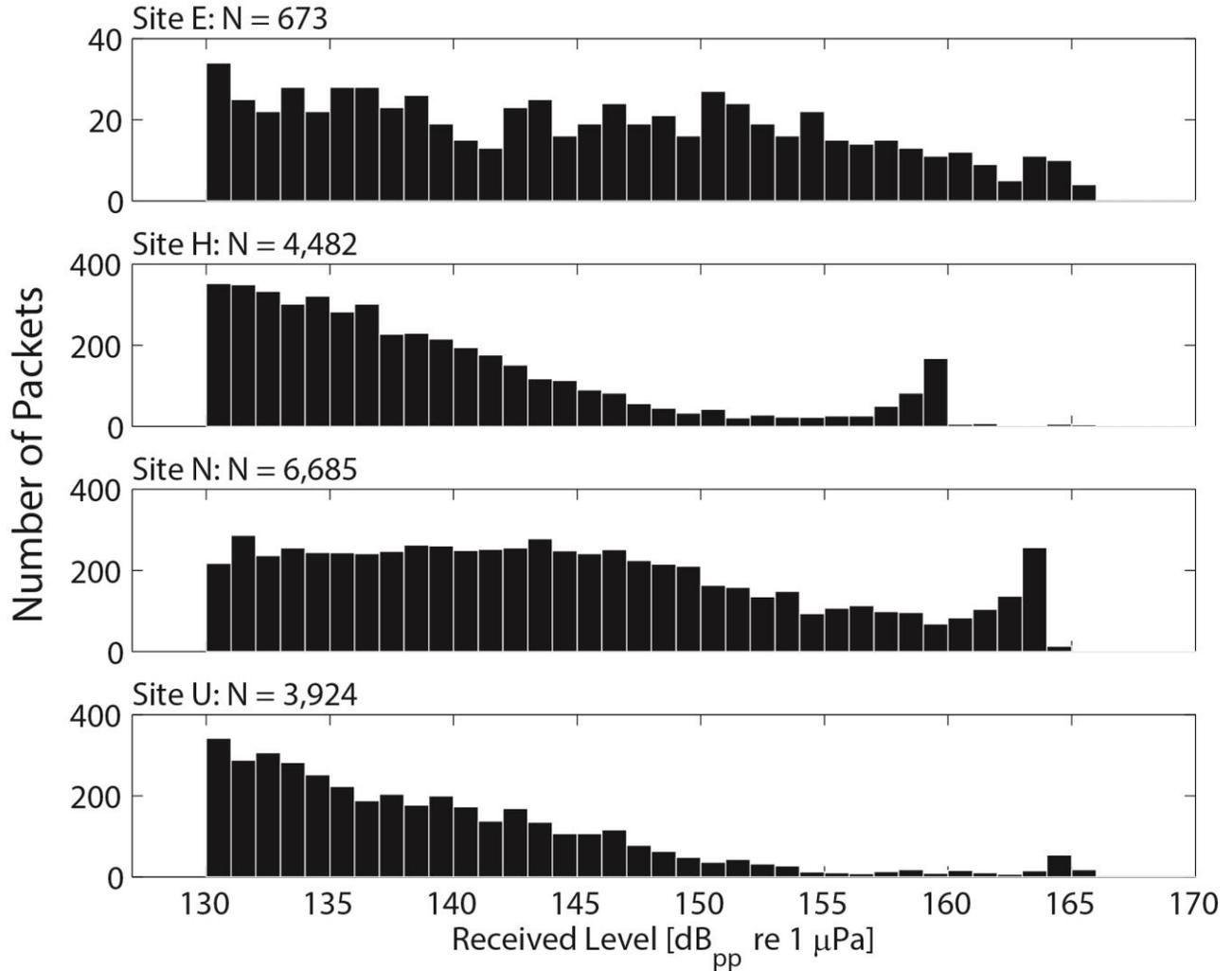
Figure 22. MFA sonar packet peak-to-peak received level distributions for sites E, H, N, and U.
The total number of packets detected at each site is given in the upper left corner of each panel.
Instrument clipping levels are reached around 165 dBpp re 1 μPa, except for deployment 67 at site H
where clipping levels were around 160 dBpp re 1 μPa. Note the vetical axes are at different scales with
site E being smaller.
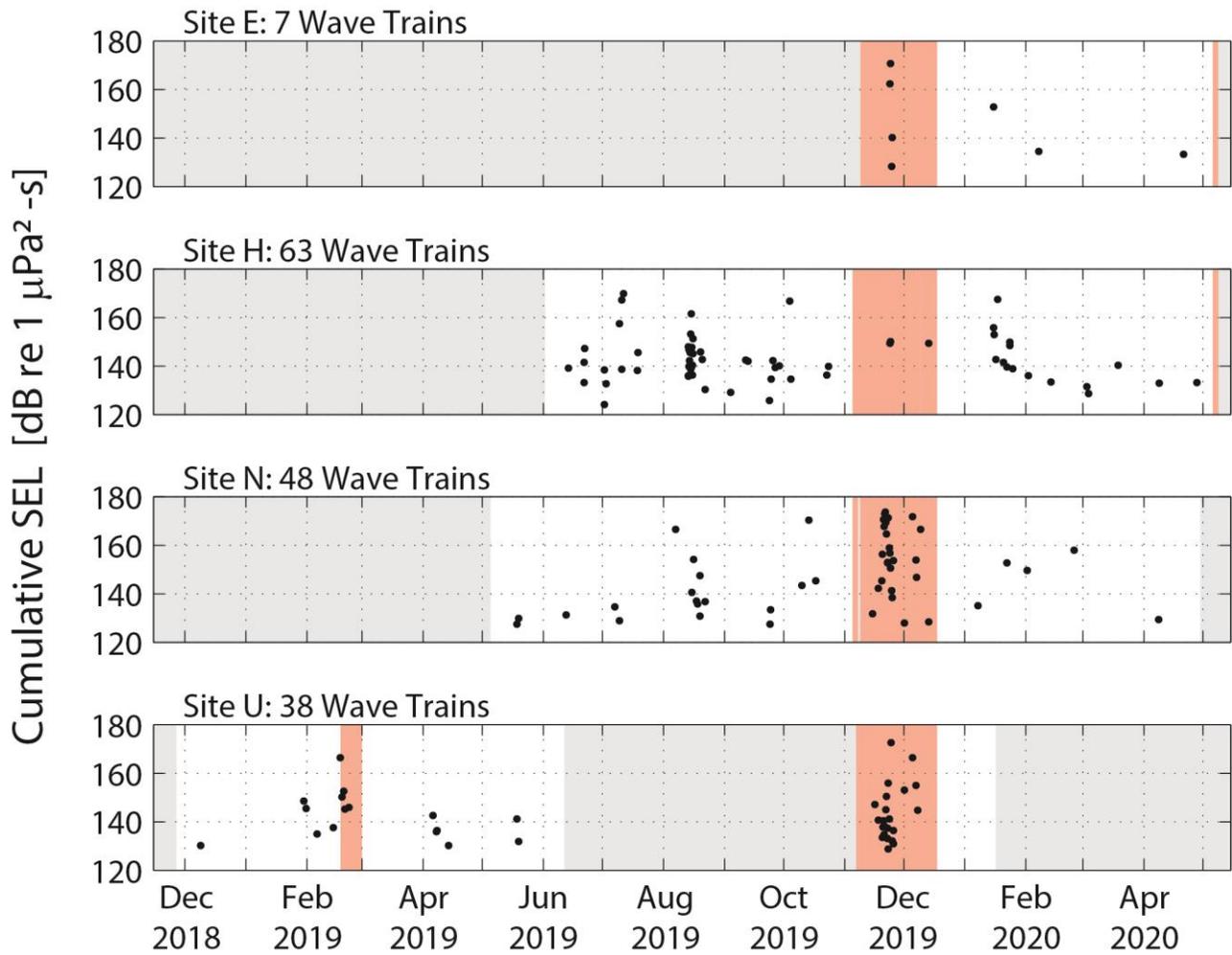
Figure 23. Cumulative sound exposure level for each wave train at sites E, H, N, and U.
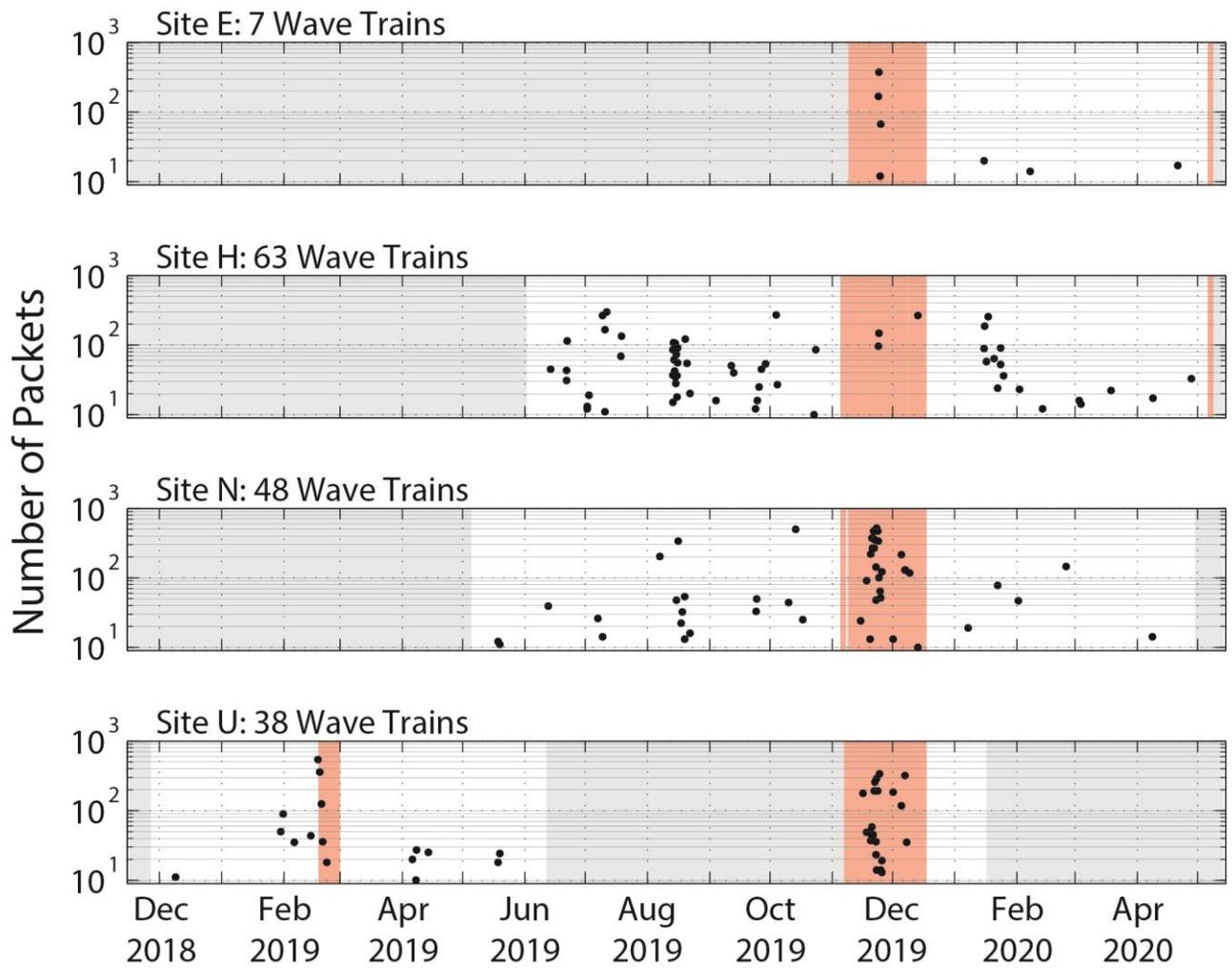
Figure 24. Number of MFA sonar packets for each wave train at sites E, H, N, and U. Note the vertical axes are logarithmic base-10.
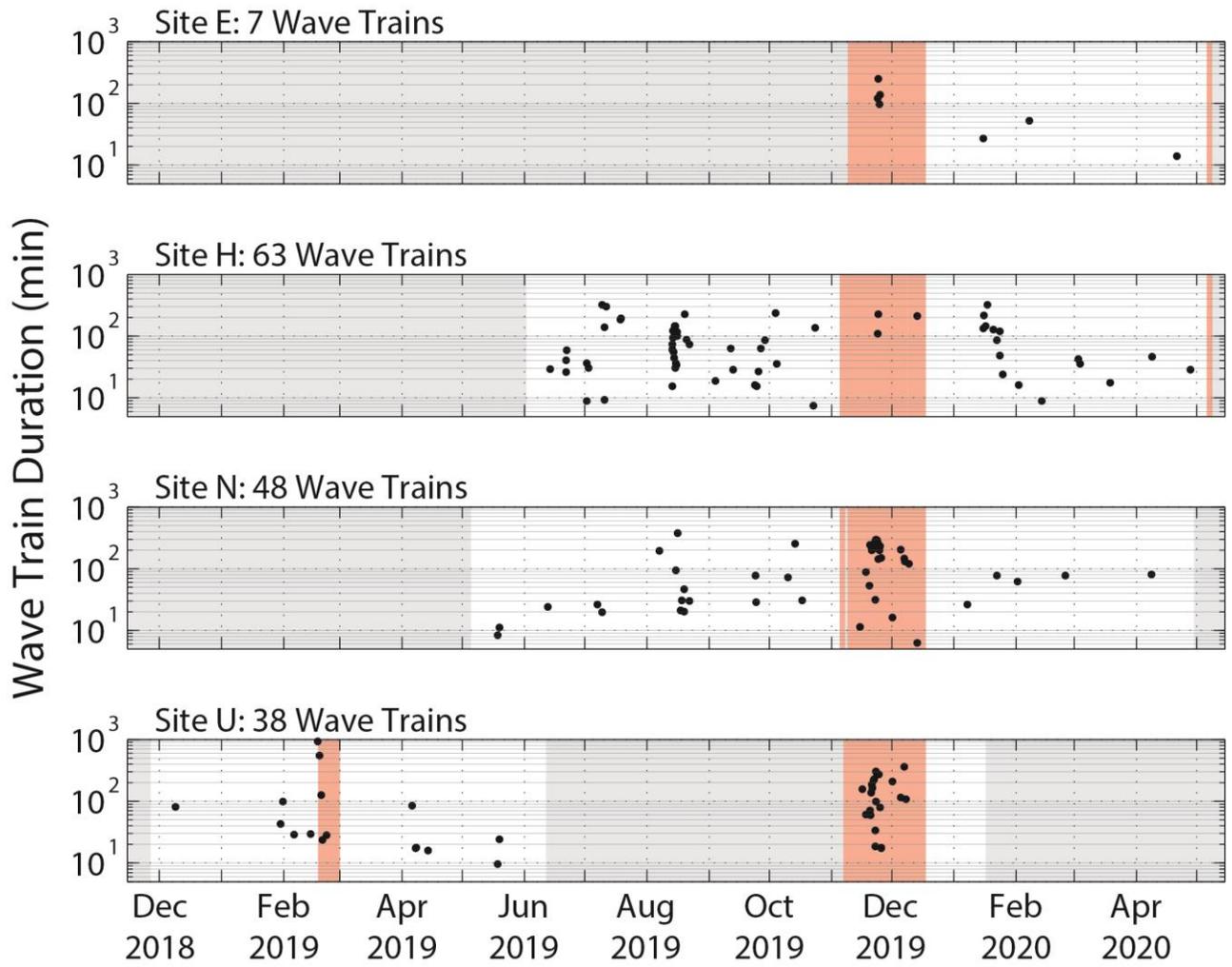
Figure 25. Wave train duration at sites E, H, N, and U.
Note the vertical axes are logarithmic base-10.
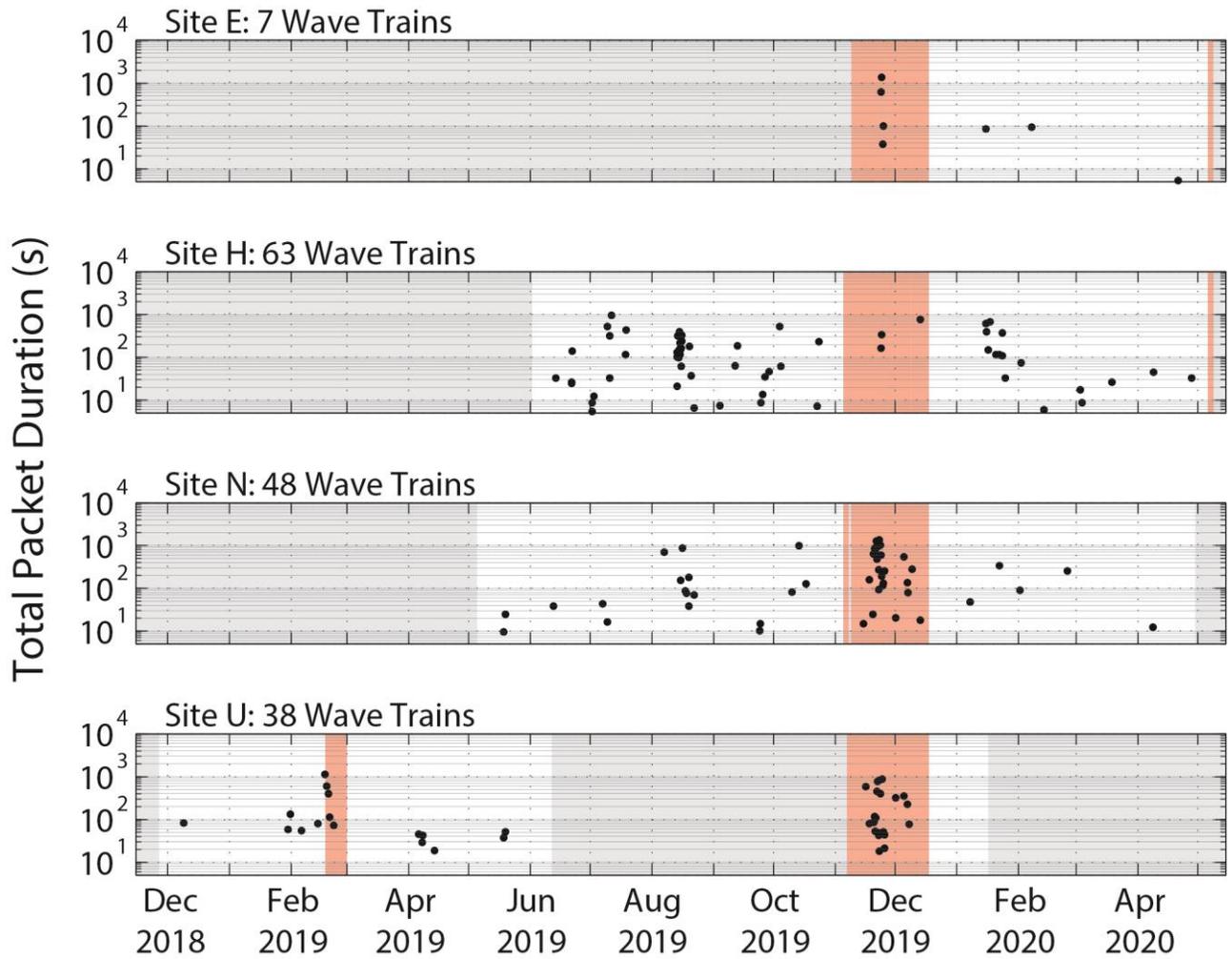
**Figure 26. Total packet duration for each wave train at sites E, H, N, and U.
Note the vertical axes are logarithmic base-10.**

Explosions

Explosions were detected at all four sites.

- Explosions occurred throughout the monitoring periods at all sites. The highest number of explosions occurred at site H, with a peak in October and November 2019 and again in February 2020. The lowest number of detections occurred at site E (Figure 27).
- Total explosion counts at each site were as follows:
    - 201 at site E
   - 5,336 at site H
    - 1,094 at site N
    - 1,206 at site U
- There was no clear diel pattern at sites E or U. At site H, there were more explosions at night, particularly for about the first six hours after sunset (Figure 28). At site N, there were more explosions during the day, except for two periods during October–November 2019 and February 2020, where explosions were detected throughout the night as well (Figure 28).
- The diel pattern at site H indicates potential use of seal bombs by the squid fishery.
- The overall number of detections at site H is higher than during the last reporting period (Rice *et al.*, 2020), but is generally comparable with previous reports (Debich *et al.*, 2015a; Debich *et al.*, 2015b; Širović *et al.*, 2016; Rice *et al.*, 2017; Rice *et al.*, 2018; Rice *et al.*, 2019).
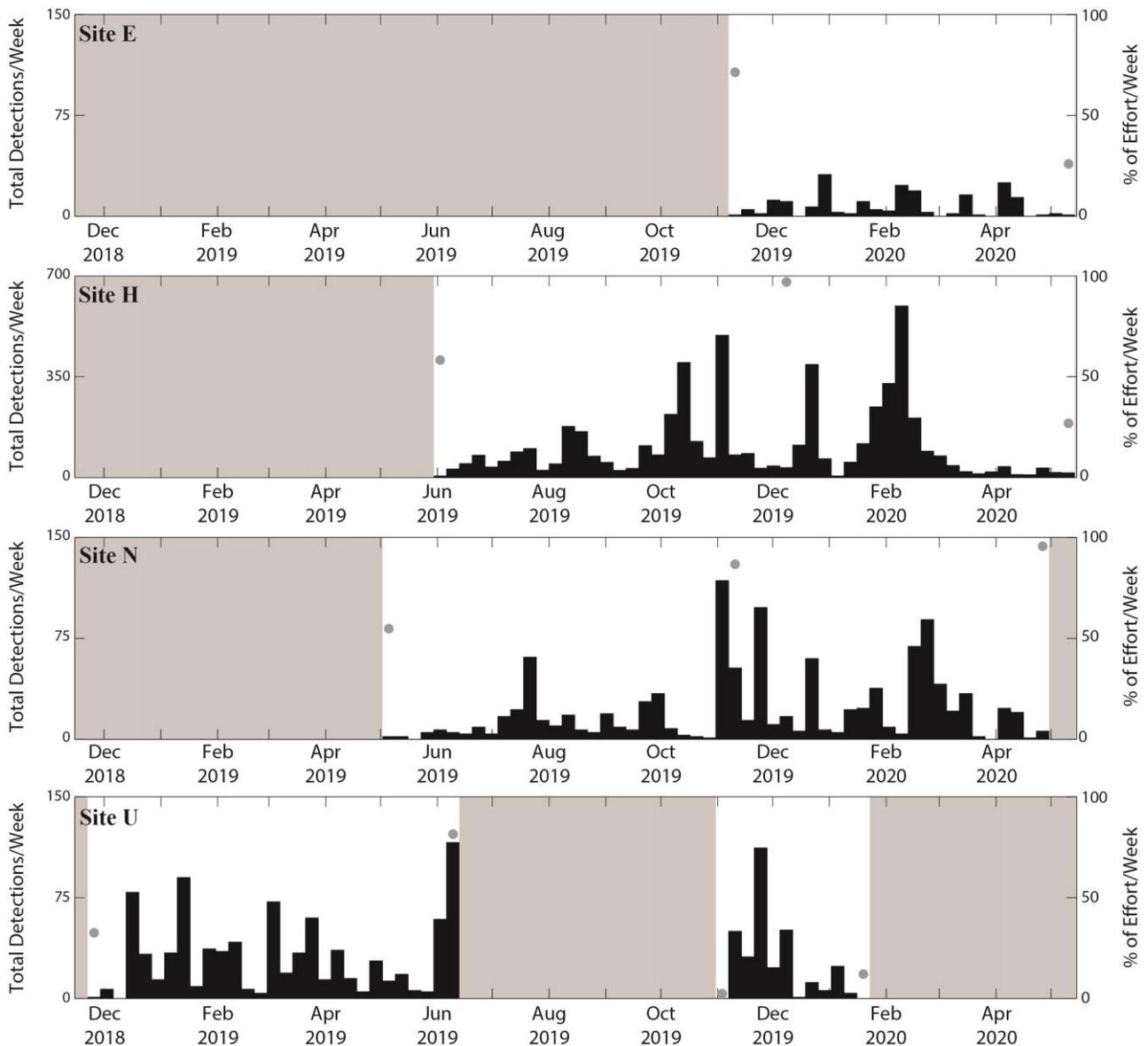
Figure 27. Weekly presence of explosions between November 2018 and May 2020 at sites E, H, N, and U.
Note the different axis scale for site H. Gray dots represent percent of effort per week in weeks with less than 100% recording effort, and gray shading represents periods with no recording effort. Where gray dots or shading are absent, full recording effort occurred for the entire week. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).
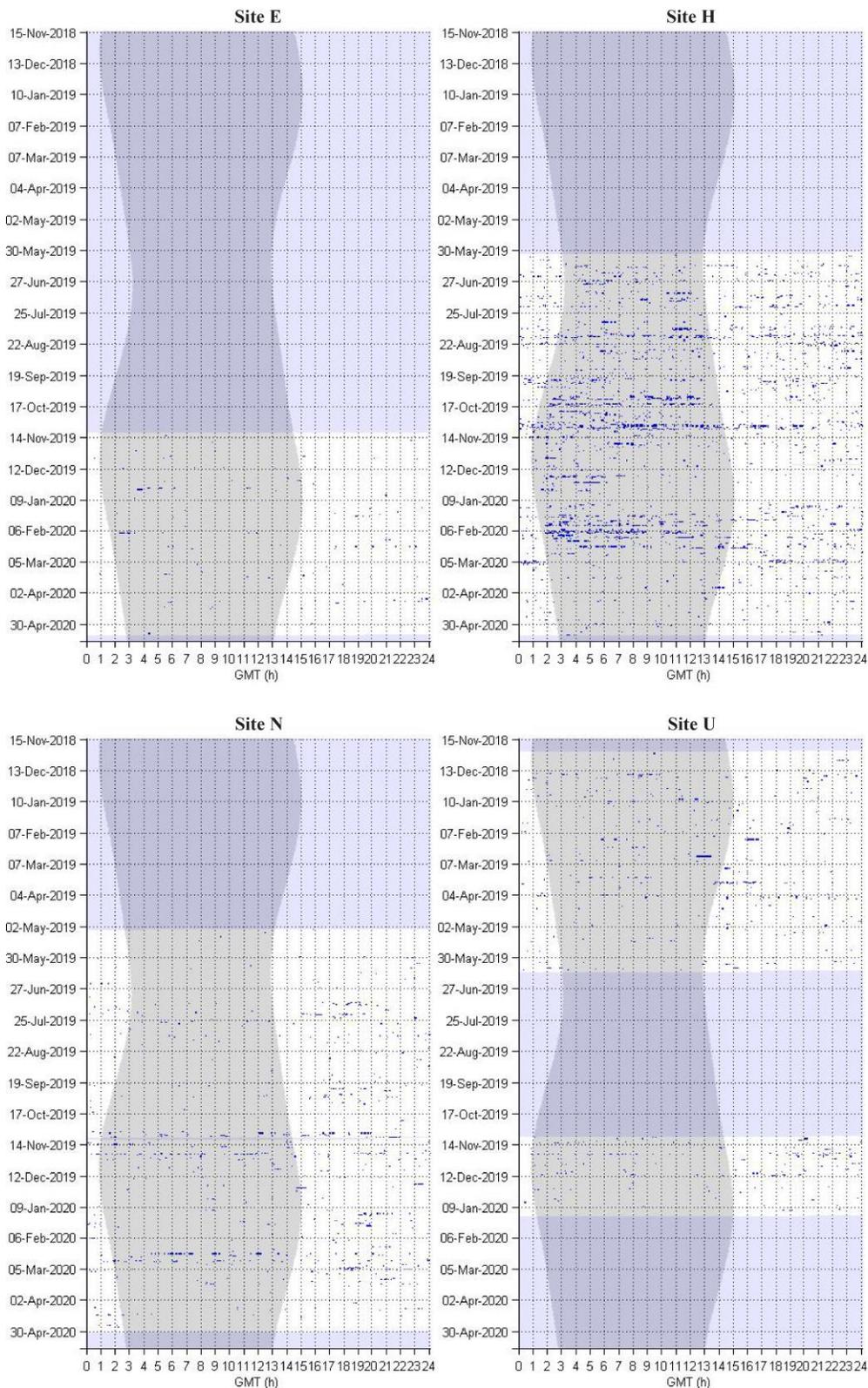
Figure 28. Explosion detections, indicated by blue dots, in one-minute bins at sites E, H, N, and U. Gray vertical shading denotes nighttime and light purple horizontal shading denotes absence of acoustic data. Analysis of data from December 2018 to May 2019 for sites E, H, and N was completed during a previous monitoring period (Rice *et al.*, 2020).

Conclusion

The results from this report are generally consistent with previous reports on the SOCAL region. The main differences during this reporting period were a higher number of Cuvier's beaked whale and BW37V FM pulses at site H and the presence of the BW37V signal at site N. Sites H and N also had fewer MFA wave trains and packets normalized per year than in previous monitoring periods. Monitoring will continue in the SOCAL range in an effort to document the seasonal presence of this subset of marine mammal species and to record anthropogenic activity as well as the low-frequency ambient soundscape.

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